



# State Machines, I: Invariants

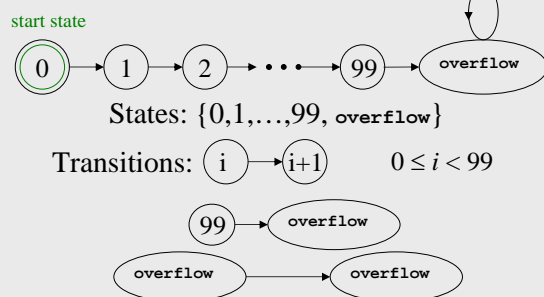


## State machine:

Step by step procedure,  
possibly responding to input.



The **state graph** of a 99-bounded counter:



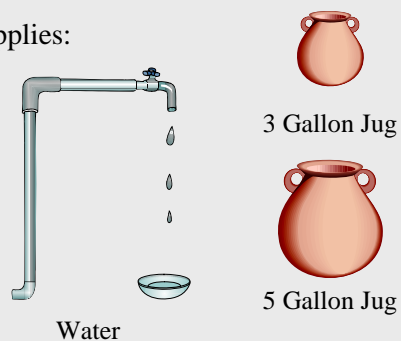
Picture source: <http://movieweb.com/movie/diehard3/>



**Simon says:** On the fountain, there should be 2 jugs, do you see them? A 5-gallon and a 3-gallon. Fill one of the jugs with exactly 4 gallons of water and place it on the scale and the timer will stop. You must be precise; one ounce more or less will result in detonation. If you're still alive in 5 minutes, we'll speak.



Supplies:





## Die Hard

Transferring water:



3 Gallon Jug

5 Gallon Jug

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## Die Hard

Transferring water:



3 Gallon Jug

5 Gallon Jug

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## Die Hard

Simon's challenge:

Disarm the bomb by putting  
precisely 4 gallons of water on  
the scale, or it will **blow up**.

**Question:** How to do it?

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## Die Hard

Work it out now!

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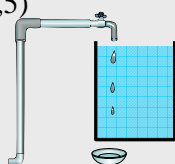
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## How to do it

Start with empty jugs: (0,0)  
Fill the big jug: (0,5)



3 Gallon Jug

5 Gallon Jug

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## How to do it

Pour from big to little: (3,2)



3 Gallon Jug

5 Gallon Jug

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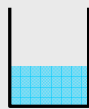
1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

### How to do it

Empty the little: (0,2)



3 Gallon Jug



5 Gallon Jug

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1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

### How to do it

Pour from big to little: (2,0)



3 Gallon Jug



5 Gallon Jug

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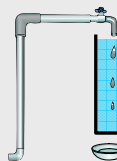
1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

### How to do it

Fill the big jug: (2,5)



3 Gallon Jug



5 Gallon Jug

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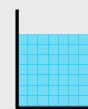
1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

### How to do it

Pour from big to little: (3,4)



3 Gallon Jug



5 Gallon Jug

Done!!

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1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

### Die Hard **once and for all**

What if you have a 9 gallon jug instead?



3 Gallon Jug



~~5 Gallon Jug~~



9 Gallon Jug

Can you do it? Can you prove it?

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1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16

### Die Hard

Work it out now!

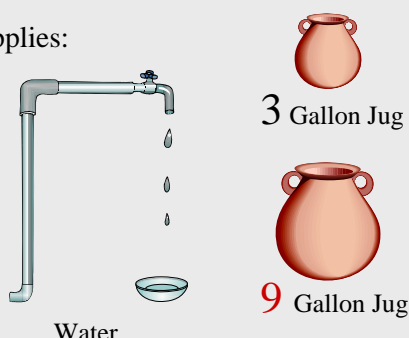
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**Die Hard Once & For All**

Supplies:



Water

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**State machines**

**Die hard state machine**

State = amount of water in the jug:  $(b, l)$   
 where  $0 \leq b \leq 9$  and  $0 \leq l \leq 3$ .  
 Start State =  $(0, 0)$

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**State machines**

**Die Hard Transitions:**

1. Fill the little jug:  $(b, l) \rightarrow (b, 3)$  for  $l < 3$
2. Fill the big jug:  $(b, l) \rightarrow (9, l)$  for  $b < 9$
3. Empty the little jug:  $(b, l) \rightarrow (b, 0)$  for  $l > 0$
4. Empty the big jug:  $(b, l) \rightarrow (0, l)$  for  $b > 0$

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**State machines**

5. Pour from big jug into little jug (for  $b > 0$ ):
  - (i) If no overflow, then  $(b, l) \rightarrow (0, b+l)$ ,  
 $b + l \leq 3$
  - (ii) otherwise  $(b, l) \rightarrow (b - (3 - l), 3)$ .
6. Pour from little jug into big jug.  
 Likewise.

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**State Invariants**

Die hard once and for all

**Invariant:**

$P(\text{state}) ::= \text{"3 divides the number of gallons in each jug."}$

$P((b, l)) ::= (3 \mid b \wedge 3 \mid l)$


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**State Invariants**

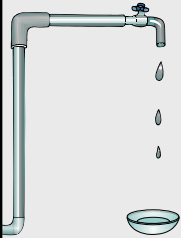
**Floyd's Invariant Method**  
 (just like induction)

- 1) **Base case:** Show  $P(\text{start})$ .
- 2) **Invariant case:** Show  
 if  $P(q)$  and  $q \rightarrow r$ , then  $P(r)$ .
- 3) **Conclusion:**  $P$  holds for all reachable states, including final state (if any).

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### Die Hard Once & For All



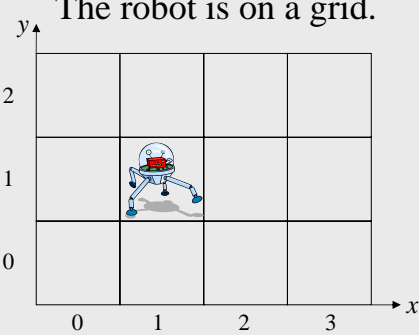
Corollary: No state  $(4,x)$  is reachable, so **Bruce Dies!**

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### The Diagonal Robot

The robot is on a grid.

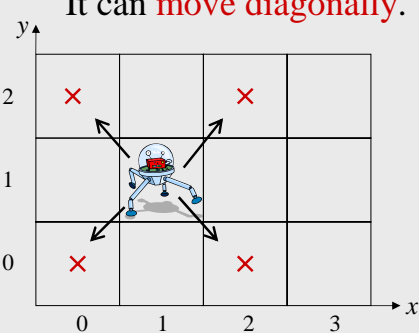


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### The Diagonal Robot

It can **move diagonally**.




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### The Diagonal Robot

Can it reach from  $(0,0)$  to  $(1,0)$ ?



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### Robot Invariant

**NO!**

$P((x, y)) ::= x + y$  is even  
is an invariant:  
transition adds  $\pm 1$  to **both**  $x$  and  $y$ ,  
preserving parity of  $x+y$ .  
Also,  $P((0, 0))$  is true.

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### Robot Invariant

So all positions  $(x, y)$  reachable  
by robot have  $x + y$  **even**.

But  $1 + 0 = 1$  is **odd**, so  
 **$(1,0)$**  is not reachable.

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4	9	13	7
12		10	5
3	1	4	14
15	8	11	2

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

## The Fifteen Puzzle Explained!

--by similar reasoning  
(details in Team Problem 1)

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4	9	13	7
12		10	5
3	1	4	14
15	8	11	2

## Team Problems

Problems carried  
over to Friday

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