

INSTITUTE OF COMPUTING - UNICAMP  
Graduate Program  
MO417A Design and Analysis of Algorithms  
2015 - Semester 1 - Jorge Stolfi  
Midterm exam 1 - 2015-04-15

Name
------

RA Number
-----------

Signature
-----------

Item													TOT
Points													

- You must do the exam by yourself, without any help.**
- You may not consult notes, books, tables, etc..**
- Computers and calculators may not be used during the exam.**
- Turn off and put away cellphones, music players, etc..**
- Do not separate the sheets of this exam booklet.**
- You cannot use any scratch paper besides this booklet.**
- Only answers in the marked-off spaces will be considered.**
- Purely numerical calculations need not be carried out.**
- Write your name legibly, and sign with a pen (not pencil or marker).**
- Once the exam booklet has been distributed:**
  - \* if you leave the room, you will not be allowed to return.**
  - \* after someone leaves the room, no one else will be admitted.**

1. For any positive integer  $k$ , let  $\varphi_k$  be the number of distinct divisors of  $k$ . So, for example,  $k = 24$  has 8 divisors (1, 2, 3, 4, 6, 8, 12, and 24), so  $\varphi_{24} = 8$ .

The following algorithm takes a positive integer  $n$ , and returns the list  $\varphi = (\varphi_1, \varphi_2, \dots, \varphi_n)$  of the first  $n$  values of that function. So, for example, given  $n = 10$  the algorithm will return  $\varphi = (1, 2, 2, 3, 2, 4, 2, 4, 3, 4)$ . (The notation  $[v]$  before a statement means “ $v$  is the number of times that this statement gets executed when the algorithm is executed once”.)

Algorithm *NDivs*( $n$ )

```

    for  $k$  from 1 to  $n$  do
[f]       $\varphi_k \leftarrow 1$ ;
    endfor;
     $d \leftarrow 2$ ;
    while  $d^2 \leq n$ 
[g]       $k \leftarrow d$ ;
          while  $k \leq n$  do;
[h]       $\varphi_k \leftarrow \varphi_k + 1$ 
           $k \leftarrow k + d$ ;
          endwhile;
           $d \leftarrow d + 1$ ;
    endwhile;
    return  $\varphi$ ;

```

- (a) Determine formulas for the statement execution counts  $f = f(n)$ ,  $g = g(n)$ ,  $h = h(n)$ , as a function of  $n$ .



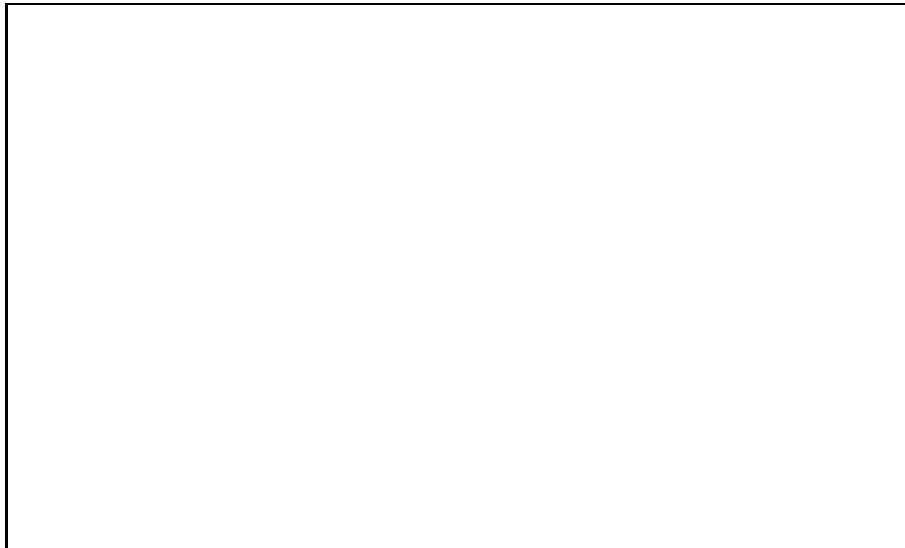
(b) What is the asymptotic class (in the  $O/\Omega/\Theta$  notation) of  $h(n)$ , as a function of  $n$ ?



2. Consider the algorithm below, that rearranges the elements of a list  $x = (x_1, x_2, \dots, x_n)$  in increasing order, assuming that each element  $x_i$  is between 0 and  $m$ , inclusive:

```
Algorithm CtSort( $n, m, x$ )
  for  $k$  from 0 to  $m$  do
    [ $f$ ]    $c[k] \leftarrow 0$ ;
  endfor;
  for  $i$  from 1 to  $n$  do
    [ $g$ ]    $k \leftarrow x[i]$ ;
           $c[k] \leftarrow c[k] + 1$ ;
  endfor;
   $i \leftarrow 1$ ;
  for  $k$  from 0 to  $m$  do
    [ $r$ ]    $t \leftarrow 0$ ;
          while  $t < c[k]$  do
    [ $s$ ]    $x[i] \leftarrow k$ ;
           $i \leftarrow i + 1$ ;
           $t \leftarrow t + 1$ ;
          endwhile;
  endfor;
```

- (a) Determine the maximum and minimum execution counts  $f = f(n, m)$ ,  $g = g(n, m)$ ,  $r = r(n, m)$ ,  $s = s(n, m)$ , as a function of  $n$  and  $m$ ;



- (b) Would this algorithm be better than mergesort, if  $n = 1\,000\,000$  and  $m = 1000$ ?  
And if  $n = 1000$ ,  $m = 1\,000\,000$ ? (Justify the answers)

A large, empty rectangular box with a thin black border, intended for the student to write their justification for part (b).

- (c) What is the asymptotic class of the running time of this algorithm, as a function of  $n$  and  $m$ ?

A large, empty rectangular box with a thin black border, intended for the student to write their answer for part (c).

3. Find upper and lower bounds for the following summations, using the integral method. Recall that  $x - 1 < \lfloor x \rfloor \leq x$ ,  $\sqrt{x} = x^{1/2}$ , and  $\log_a x = \ln x / \ln a$  for any  $x$  and  $a$  such that the formulas are defined. Recall also that  $\int \ln x dx = x(\ln x - 1)$ .

(a)  $s(n) = \sum_{k=m}^n \frac{(k-2)(k+2)}{k}$

An empty rectangular box with a black border, intended for the student's solution to part (a).

(b)  $s(n) = \sum_{k=m}^n \ln(k+1)$

An empty rectangular box with a black border, intended for the student's solution to part (b).

(c)  $s(n) = \sum_{k=m}^n \lfloor i^2/\pi \rfloor$

An empty rectangular box with a black border, intended for the student's solution to part (c).

4. Consider the infinite sequences  $x_0, x_1, \dots, x_n, \dots$  that satisfy the homogeneous linear recurrence

$$x_n = -x_{n-1} + 6x_{n-2} \tag{1}$$

- (a) Write the characteristic polynomial of this recurrence.

- (c) Determine the roots  $r_i$  of the polynomial.

- (d) Determine a general non-recursive formula for the term  $x_n$  of any sequence that satisfies that recurrence.

- (d) Determine a non-recursive formula for  $x_n$ , for the specific sequence such that  $x_0 = 1$  and  $x_1 = 2$ .

(e) What is the asymptotic class for  $x_n$ , for that specific sequence?

