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Flow-Critical Graphs

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Abstract

In this paper we introduce the concept of *k-flow-critical* graphs. These are graphs that do not admit a *k*-flow but such that any smaller graph obtained from it by contraction of edges or of sets of vertices is *k*-flowable. Throughout this paper we will refer to *k*-flow-critical graphs simply as *k-critical*.

Any minimum counterexample for Tutte's 3-Flow and 5-Flow Conjectures must be 3-critical and 5-critical, respectively. Thus, any progress towards establishing good characterizations of *k*-critical graphs can represent progress in the study of these conjectures. We present some interesting properties satisfied by *k*-critical graphs discovered recently.

1 Introduction

For a set E of edges of a graph G , the graph G/E is the graph obtained from G by contracting all edges in E . Similarly, $G - E$ is the graph obtained from G by removing all edges in E . When E contains one single edge e we simply denote by G/e or $G - e$ the graph resulting from contracting or removing edge e . For a pair of distinct vertices u and v of G , G_{uv} is the graph obtained from G by contracting the set $\{u, v\}$ to a single vertex. Graph $G + uv$ is the graph obtained from G by the addition of edge uv . All these definitions can be extended to a digraph D . In this case, graph $D + uv$ is an extension of D in which edge uv is directed from u to v .

Let k be an integer, $k > 2$. Let D be a digraph and f a mapping $f : E(D) \rightarrow \mathbb{Z}$. Let X be a set of vertices of D and the *cut of X* , denoted by ∂X , the set of edges of D having precisely one end in X . We define the *outflow at X* as the sum of the weights of the edges in ∂X leaving X minus the sum of the weights of the edges in ∂X entering X . In the particular case in which X has one single vertex v we say it is the *outflow at v* and denote it by $f(v)$. The outflow at X is equal to by the sum of the outflows at the vertices in X .

Mapping f is a *mod- k -flow* of D if the following properties are satisfied:

- (i) For any edge e , $f(e)$ is not a multiple of k .

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(ii) Every vertex v is *balanced modulo k* , i.e., $f(v) \equiv 0 \pmod{k}$.

A mod- k -flow f is a k -flow of D if two more properties are satisfied:

(iii) For any edge e , $0 < f(e) < k$.

(iv) Every vertex is *balanced*, i.e., $f(v) = 0$.

Mapping f is a *near-mod- k -flow that misses u and v* if property (i) is satisfied and balance modulo k is achieved at every vertex, except precisely vertices u and v .

If a mapping f satisfies properties (i) and (iii) and all but possibly one vertex v are balanced, then f must be a k -flow. Recall that the outflow at v is the complement of the outflow at $X := V(D) - v$. The outflow at $X := V(D) - v$ is 0, then v must be balanced as well. Similarly, if a mapping f satisfies property (i) and all but possibly one vertex v are balanced modulo k , then f must be a mod- k -flow. Using a similar argument we conclude that when f is a near-mod- k -flow that misses u and v and the outflow at u is x , then the outflow at v must be $-x$. In order to specify the outflow at u (and consequently at v) we say f is a *near-mod- k -flow that misses u and v by x* .

Lemma 1.1 *Let D be a graph, f a near-mod- k -flow that misses two vertices u and v of D . Then, the graph $D + uv$ has a mod- k -flow.*

Proof: Extend f to a mod- k -flow f' of $D + uv$ by assigning to edge $e = uv$ the integer $f'(e)$ that balances u modulo k . That balances v modulo k as well. \square

When f is either a k -flow or a mod- k -flow then, for every set X , the outflow at X is 0 or $0 \pmod{k}$, respectively. Thus, D cannot have a cut-edge.

We say a graph G has a k -flow if there is a k -flow for some orientation D of G . Similarly, we say that G has a mod- k -flow if there is a mod- k -flow for some orientation D of G . The following result is well known. Proofs of Theorem 1.2, due to Tutte, can be found in papers by Younger [8] and Seymour [5], and in a book by Zhang [9].

Theorem 1.2 *A graph G has a k -flow if and only if G has a mod- k -flow.*

It should be noted, however, that there is a mod- k -flow f for some orientation D of G if and only if there is a mod- k -flow f' for every orientation D' of G . If D and D' differ on a set E' of edges, we obtain f' by simply stating that $f'(e) \equiv -f(e) \pmod{k}$ for all edges in E' and $f'(e) = f(e)$ for the remaining edges.

A graph G is *edge- k -critical* if it does not admit a k -flow but G/e admits a k -flow, for every edge e of G . Similarly, we say that graph G is *vertex- k -critical* if it does not admit a k -flow but G_{uv} admits a k -flow, for every pair of distinct vertices u and v of G . These definitions can be extended to digraphs. A digraph D is *edge- k -critical* or *vertex- k -critical*, respectively, if its underlying undirected graph is edge- k -critical or vertex- k -critical.

Every loopless vertex- k -critical graph must be edge- k -critical. A graph G that is edge- k -critical must have exactly one non-trivial connected component. This non-trivial component of G must be edge- k -critical itself. It is easy to see that every vertex- k -critical graph

is connected. So, there are edge- k -critical graphs that are not vertex- k -critical, but all examples we know are disconnected.

Conjecture 1.3 *Every connected edge- k -critical graph is vertex- k -critical.*

Graph K_4 is an example of a vertex-3-critical graph. Actually, every odd wheel is a vertex-3-critical graph, as shown on Section 5. Other examples of vertex-3-critical graphs are shown on Figure 1. The Petersen graph is vertex-4-critical. Many other snarks are vertex-4-critical. Examples are Blanuša, Loupekhine, Celmins-Swart, double-star and Szekeres snarks, and flower-snarks J_n for n odd and $5 \leq n \leq 15$ [1]. We used a characterization of vertex- k -critical graphs demonstrated on Section 3 to implement a computer program that checks whether a graph is vertex- k -critical for $k = 3$ or $k = 4$. This program runs in exponential time.

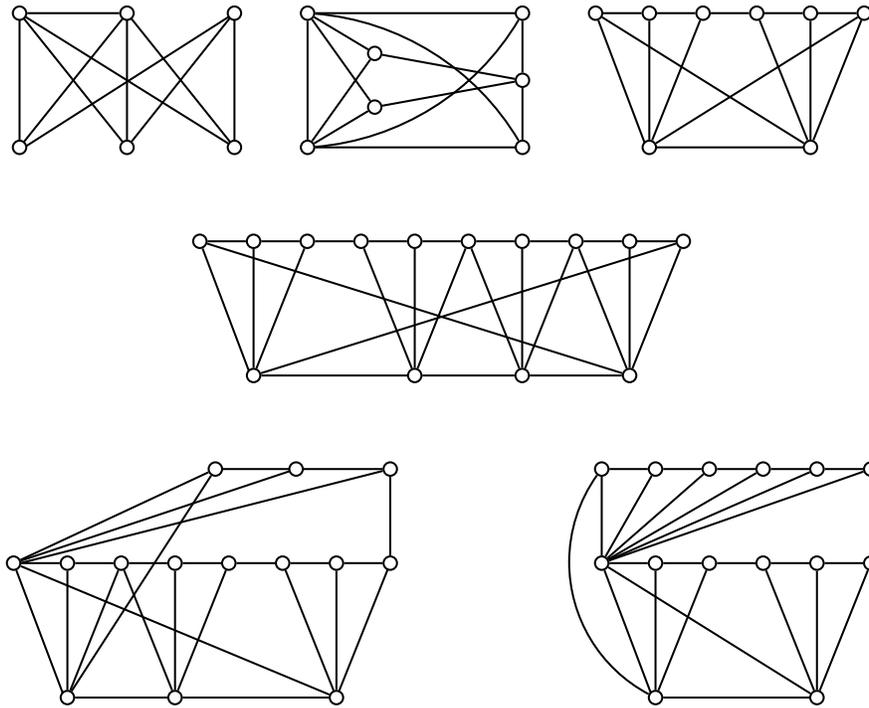


Figure 1: Examples of vertex-3-critical graphs

2 Motivation

Tutte proposed the following well known conjectures concerning 5-, 4- and 3-flows.

Conjecture 2.1 (5-Flow Conjecture) *Every 2-edge-connected graph admits a 5-flow.*

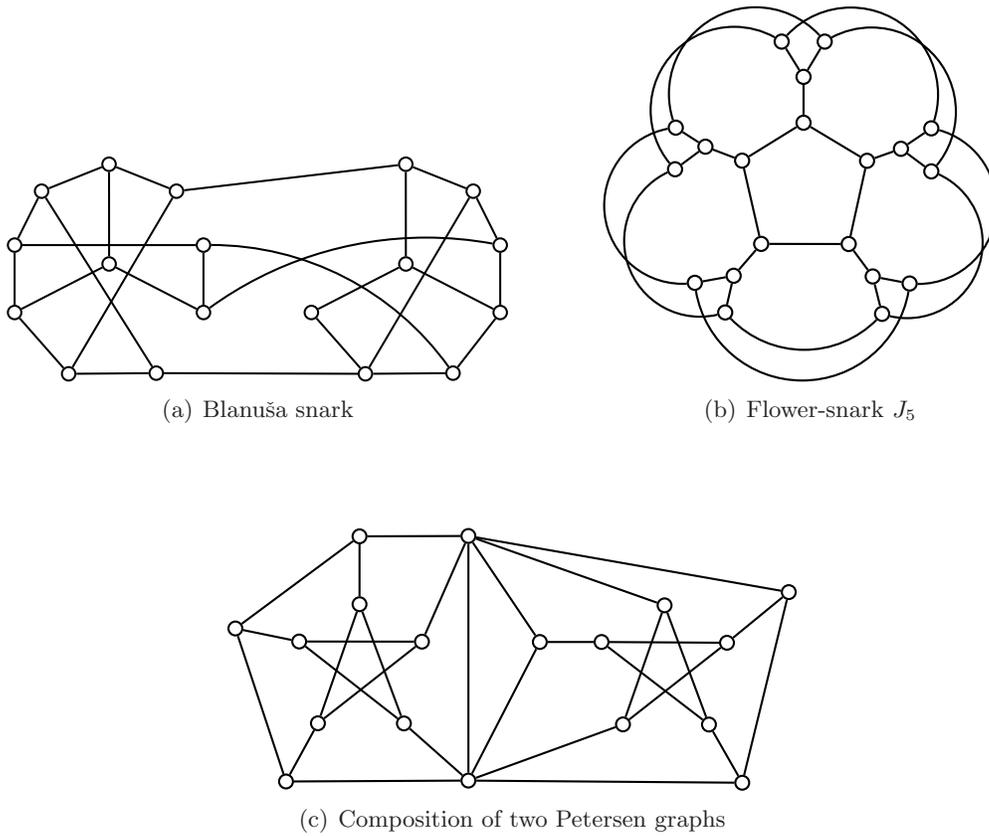


Figure 2: Examples of vertex-4-critical graphs

Conjecture 2.2 (4-Flow Conjecture) *Every 2-edge-connected graph with no Petersen minors admits a 4-flow.*

Conjecture 2.3 (3-Flow Conjecture) *Every 4-edge-connected graph admits a 3-flow.*

Conjectures 2.1 and 2.2 were proposed in 1954 [7], while Conjecture 2.3 was proposed much later in the early 80's [6]. All three conjectures are theorems for planar graphs. Robertson, Seymour and Thomas [4] have proved the 4-Flow Conjecture for cubic graphs. The 3-Flow Conjecture has been proved for planar graphs with up to three 3-cuts and for projective planar graphs with at most one 3-cut [6]. There are many more interesting results concerning these conjectures that are not mentioned here. Refer to Diestel [2], Seymour [5] or Zhang [9] for a more thorough review on related results. All three conjectures are still open.

Let G be a minimum counterexample for the 5-Flow Conjecture and u and v any pair of distinct vertices of G . Since the contraction of u and v does not create any new cuts, G_{uv} is not a counterexample and has a 5-flow. This argument is valid for any pair of vertices u and v . We thus conclude that every minimal counterexample for the 5-Flow Conjecture is vertex-5-critical. Using a similar argument we can prove that every minimal counterexample for the 3-Flow Conjecture must be vertex-3-critical.

We can also prove that every minimal counterexample for the 4-Flow Conjecture must be edge-4-critical. Let G be a minimum counterexample for the 4-Flow Conjecture and e an edge of G . Since G does not have a Petersen minor, G/e does not have one either. Thus, G is edge-4-critical. We do not know, however, whether G is vertex-4-critical. It may be the case that for some pair of distinct vertices u and v of G , graph G_{uv} has a Petersen minor, as illustrated by Figure 3.

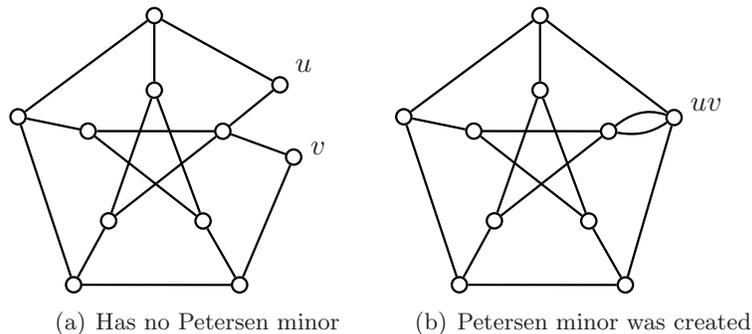


Figure 3: Contraction of vertices may generate a Petersen minor

Therefore, the search for good characterizations of edge and vertex- k -critical graphs for $k = 3, 4, 5$ stands up as a new interesting approach towards proving these conjectures.

3 Characterizations and Properties of k -Critical Graphs

In this section we show characterizations of edge- k -critical and vertex- k -critical graphs, as well as some interesting properties satisfied by such graphs.

3.1 Characterization of Edge- k -Critical Graphs

Lemma 3.1 *Let D be a digraph with no mod- k -flow and $e = uv$ an edge of D . Then, the following properties are equivalent:*

- (i) *Graph D/e has a mod- k -flow.*
- (ii) *Graph $D - e$ has a mod- k -flow.*
- (iii) *Graph D has a near-mod- k -flow that misses u and v .*

Proof:

(i) \Rightarrow (ii): Let f be a mod- k -flow of D/e . Either f is a mod- k -flow of $D - e$ or f is a near-mod- k -flow of $D - e$ that misses the ends of e . But the latter cannot be the case, otherwise, by Lemma 1.1, D has a mod- k -flow, a contradiction. Thus, f is a mod- k -flow of $D - e$.

(ii) \Rightarrow (iii): Let f' be a mod- k -flow of $D - e$. Extend f' to a mapping f of D by assigning to e a weight $f(e)$ that is not a multiple of k . That makes u and v unbalanced modulo k with respect to f while all other vertices are still balanced modulo k . Thus, f is a near-mod- k -flow of D that misses u and v .

(iii) \Rightarrow (i): Let f be a near-mod- k -flow of D that misses u and v and f' be the restriction of f to D/e . All vertices, except perhaps the vertex of contraction w , are balanced modulo k with respect to f . Thus, so is vertex w and f' is a mod- k -flow of D/e . \square

The next result is a characterization of edge- k -critical graphs and follows immediately from Lemma 3.1.

Corollary 3.2 *Let D be a digraph with no mod- k -flow. Then, the following properties are equivalent:*

- (i) *Graph D is edge- k -critical.*
- (ii) *For every edge e of D , graph $D - e$ has a mod- k -flow.*
- (iii) *For every edge $e = uv$ of D , graph D has a near-mod- k -flow that misses u and v .*

3.2 Characterization of Vertex- k -Critical Graphs

The next result is an analog of Lemma 3.1 for any two vertices, not necessarily adjacent and is followed by a corollary that characterizes vertex- k -critical digraphs.

Lemma 3.3 *Let D be a digraph with no mod- k -flow and u and v an arbitrary pair of distinct vertices of D . Then, the following properties are equivalent:*

- (i) *Graph D_{uv} has a mod- k -flow.*
- (ii) *Graph $D + uv$ has a mod- k -flow.*
- (iii) *Graph D has a near-mod- k -flow that misses u and v .*

Proof:

(i) \Rightarrow (ii): If u and v are adjacent in D then, by Corollary 3.1(iii), there is a near-mod- k -flow that misses u and v . Then, by Lemma 1.1, $D + uv$ has a mod- k -flow. We may thus assume that u and v are not adjacent. By hypothesis, D_{uv} has a mod k -flow f . Let f' be an extension of f to D . Since D has no mod- k -flow, f' is a near-mod- k -flow of D that misses vertices u and v . Again, by Lemma 1.1, $D + uv$ has a mod- k -flow.

(ii) \Rightarrow (iii) : By hypothesis, $D + uv$ has a mod- k -flow f' . Let e be edge uv in $D + uv$. Since $f'(e)$ is not a multiple of k , the restriction f of f' to D must be a near-mod- k -flow of D that misses u and v .

(iii) \Rightarrow (i): By hypothesis, D has a near-mod- k -flow f that misses u and v . The restriction f' of f to D_{uv} is a mod- k -flow of D . □

Corollary 3.4 *Let D be a digraph with no k -flow. Then, the following properties are equivalent:*

- (i) *Graph D is vertex- k -critical.*
- (ii) *For every pair $\{u, v\}$ of two distinct vertices of D , the graph $D + uv$ has a mod- k -flow.*
- (iii) *For every pair $\{u, v\}$ of two distinct vertices of D , there is a near-mod- k -flow of D that misses u and v .*

3.3 Other Properties

We now present some properties of edge- k -critical graphs. They are proved for edge- k -critical graphs, but since every loopless vertex- k -critical graph is edge- k -critical, they are valid for loopless vertex- k -critical graphs as well.

Proposition 3.5 *Every edge- k -critical digraph D has girth at least k .*

Proof: Suppose D has a circuit C of length $k - 1$ or less. If C is not an oriented circuit in D , change the orientation of some of its edges so as to make it oriented. Let D' be the resulting orientation. Let e be an edge of C . By Corollary 3.2(ii), $D' - e$ has a mod- k -flow f' . Since $C - e$ has less than $k - 1$ edges, there is some integer x not multiple of k such that $f'(e_c) \not\equiv x \pmod{k}$ for every edge $e_c \in C - e$. Obtain f from f' by adding x to the weight of every edge e_c of $C - e$ and assign $f(e)$ equal to x . Then, f is a mod- k -flow of D' , which can be converted into a mod- k -flow of D , contradicting the hypothesis. Thus, D has girth at least k . \square

Corollary 3.6 *Let D be an edge- k -critical digraph and u and v two vertices of D such that D_{uv} does not have a mod- k -flow. Then, the distance between u and v is at least k .*

Proof: Since D is edge- k -critical and D_{uv} does not have a mod- k -flow, u and v are not adjacent in D . Let e be an edge of D . By Corollary 3.2(ii), $D - e$ has a mod- k -flow, so $(D - e)_{uv}$ also has a mod- k -flow. But $(D - e)_{uv} = D_{uv} - e$. Thus, $D_{uv} - e$ has a mod- k -flow. This conclusion holds for every edge e , therefore, D_{uv} is edge- k -critical. By Proposition 3.5, D_{uv} has girth at least k . We conclude that the distance between u and v in D must be at least k . \square

Corollary 3.7 *Let D be an edge- k -critical digraph with diameter less than k . Then, D is vertex- k -critical.*

Proposition 3.8 *Every connected edge- k -critical digraph D with $|V(D)| \geq 3$ is 2-connected.*

Proof: Suppose D has a cut-vertex v . Since $|V(D)| \geq 3$, D is the union of two non-trivial digraphs D_1 and D_2 that have only vertex v in common. Let e be an edge of D not in D_1 . Since D is edge- k -critical, $D - e$ has a mod- k -flow f . In the restriction f_1 of f to D_1 , balance is achieved at every vertex of $V(D_1) - v$; that implies v must be balanced as well and thus, f_1 is a mod- k -flow of D_1 . Likewise, D_2 has a mod- k -flow f_2 . Let f be the union of f_1 and f_2 . Since both f_1 and f_2 are mod- k -flows, f is a mod- k -flow of D , contradicting the hypothesis. Thus, D has no cut-vertex. \square

Proposition 3.9 *Every connected edge- k -critical digraph D with $|V(D)| \geq 3$ is 3-edge-connected.*

Proof: By Proposition 3.8, D is 2-connected and, consequently, 2-edge-connected. Suppose D has a 2-edge-cut $\{e_1, e_2\}$. By Corollary 3.2(ii) $D - e_1$ has a mod- k -flow. But e_2 is a cut-edge of $D - e_1$, so $D - e_1$ cannot have a mod- k -flow, a contradiction. Thus, D must be 3-edge-connected. \square

Proposition 3.10 *Every edge- k -critical graph G admits a $(k + 1)$ -flow.*

Proof: Let $e = uv$ be an edge of G . By Corollary 3.2(ii) $G - e$ has a mod- k -flow. Thus, by Theorem 1.2, there is a k -flow f for some orientation D_e of $G - e$.

No cut of D_e is directed since every cut must be balanced with respect to f . Thus, there is a directed path P from v to u in D_e , and $P + e$ is a directed circuit of $D_e + e$. Obtain f' from f by adding 1 to the weight of every edge e_p of P and assign $f'(e)$ equal to 1. Then, f' is a $(k + 1)$ -flow of $D_e + e$. Indeed, G has a $(k + 1)$ -flow. \square

The following Lemma is very helpful in finding examples of k -critical graphs.

Lemma 3.11 *Let D be a graph that does not admit a mod- k -flow. Then, there is a subset E' of the edges of D such that D/E' is edge- k -critical.*

Proof: The proof follows by induction on the number of edges of D . If D is edge- k -critical already, then we simply take $E' := \emptyset$. We may thus assume D is not edge- k -critical. Therefore, there is an edge e of D such that $D' := G/e$ does not admit a mod k -flow. By induction hypothesis, there is a subset E'' of the edges of D' such that D'/E'' is edge- k -critical. Take $E' := E'' + e$. Clearly, D'/E'' is also D/E' , therefore, D/E' is edge- k -critical. \square

Lemma 3.11 implies, for instance, that for every snark G there is at least one edge-4-critical graph that can be obtained from G by contracting a (possibly empty) set of edges. For instance, the flower-snark on Figure 4 is not edge-4-critical, but after contracting edges $\{ab, ac, bc\}$ we obtain the Petersen graph that is edge-4-critical.

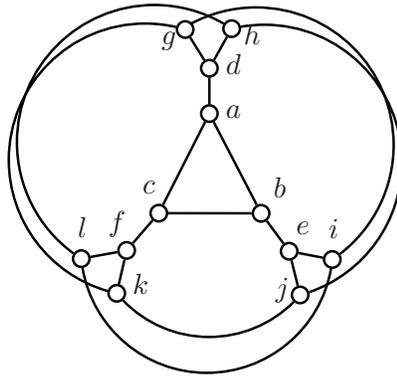


Figure 4: Flower snark J_3

4 Composition and Decomposition of k -Critical Graphs

Let D_1 and D_2 be two digraphs, $e = u_1v_1$ an edge of D_1 and u_2 and v_2 be two vertices of D_2 . The *composition of D_1 and D_2 by (u_1, v_1) and (u_2, v_2)* is the graph obtained from $D_1 - e$ and D_2 by the identification of vertices u_1, u_2 into a vertex u and v_1, v_2 into a vertex v . We say a digraph D is a *composition of D_1 and D_2* if for some edge $e = u_1v_1$ of D_1 and

for two specified vertices u_2 and v_2 of D_2 , D is a composition of D_1 and D_2 by (u_1, v_1) and (u_2, v_2) . Figure 5 shows an example of a digraph obtained from the composition of K_4 and W_5 .

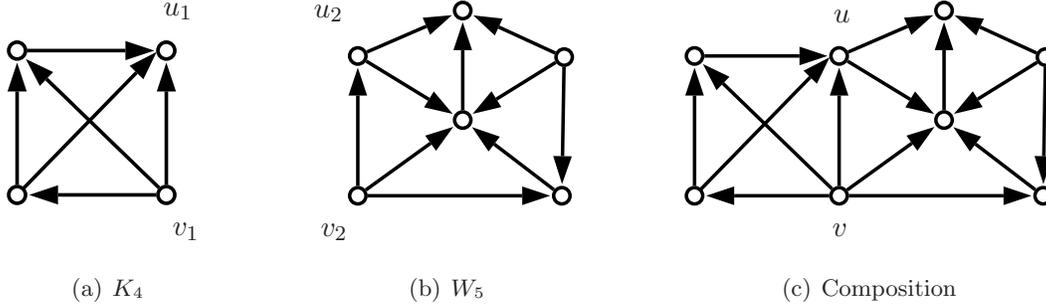


Figure 5: A composition of K_4 and W_5 .

Kochol [3, Lemma 1] proves the following result:

Proposition 4.1 *Let D_1 and D_2 be two digraphs such that neither D_1 nor D_2 has a mod- k -flow. Then, no composition of D_1 and D_2 admits a mod- k -flow.*

We thus state the following conjecture:

Conjecture 4.2 *Let D_1 and D_2 be two vertex- k -critical graphs. Then any composition of D_1 and D_2 is vertex- k -critical.*

We present below some evidence in support of the validity of Conjecture 4.2.

Theorem 4.3 *Let k be a prime, D_1 an edge- k -critical digraph and D_2 a loopless vertex- k -critical digraph. Then any composition of D_1 and D_2 is edge- k -critical.*

Proof: Let $e = u_1v_1$ be an edge of D_1 and u_2 and v_2 two vertices of D_2 such that D is a composition of D_1 and D_2 by (u_1, v_1) and (u_2, v_2) . Let e_2 be an edge of D_2 . By hypothesis D_2 is loopless and vertex- k -critical. Thus, it is edge- k -critical. By Corollary 3.2(ii), $D_2 - e_2$ has a mod- k -flow f_2 and $D_1 - e$ has a mod- k -flow f_1 . The union of f_1 and f_2 is a mod- k -flow of $D - e_2$.

Now let e_1 be an edge of $D_1 - e$. By Corollary 3.2(ii), $D_1 - e_1$ has a mod- k -flow f_1 . Thus, $D_1 - e_1 - e$ has a near mod- k -flow f'_1 that misses u_1 and v_1 by $f_1(e) = x$. By Corollary 3.4(iii), D_2 has a near mod- k -flow f_2 that misses u_2 and v_2 by, say, y . Since k is prime, the inverse $z \equiv y^{-1} \pmod{k}$ exists. Moreover, since $f_2(e)$ is not a multiple of k for every edge e , nor is $-xz f_2(e)$. Thus, $f'_2 = -xz f_2$ is a near-mod- k -flow of D_2 that misses u_2 and v_2 by $-x \pmod{k}$. The union of f'_1 and f'_2 is a mod- k -flow of $D - e_1$. Every edge of D is either an edge of D_2 or of $D_1 - e$, thus, D is edge- k -critical. \square

A composition of two digraphs D_1 and D_2 is *symmetric* if for some edge $e_1 = u_1v_1$ of D_1 and for some edge $e_2 = u_2v_2$ of D_2 , D is a composition of D_1 and D_2 by (u_1, v_1) and (u_2, v_2) .

Clearly, a symmetric composition D of D_1 and D_2 is also a (symmetric) composition of D_2 and D_1 .

Theorem 4.4 *Let D_1 and D_2 be two edge- k -critical digraphs, k not necessarily prime. Then any symmetric composition of D_1 and D_2 is edge- k -critical.*

Proof: Let $e_1 = u_1v_1$ be an edge of D_1 and $e_2 = u_2v_2$ be an edge of D_2 such that D is a composition of D_1 and D_2 by (u_1, v_1) and (u_2, v_2) . Let e' be an edge of D_2 . By hypothesis D_2 is edge- k -critical. By Corollary 3.2(ii), $D_2 - e'$ has a mod- k -flow f_2 and $D_1 - e_1$ has a mod- k -flow f_1 . The union of f_1 and f_2 is a mod- k -flow of $D - e'$. Thus, $D - e'$ has a mod- k -flow for every edge e' of D_2 .

Since D is a symmetric composition, the roles of D_1 and D_2 can be switched in the argument above. We then conclude that $D - e'$ has a mod- k -flow for every edge e' of D_1 . Every edge of D is either an edge of D_1 or of D_2 , thus D is also edge- k -critical. \square

Theorem 4.5 *Let k be a prime,¹ D an edge- k -critical digraph, $\{u, v\}$ a 2-vertex-cut of D and D_1 and D_2 subgraphs of D such that $V(D_1) \cap V(D_2) = \{u, v\}$ and $D = D_1 \cup D_2$. Then, either*

- (i) D_1 and $D_2 + uv$ are both edge- k -critical, or
- (ii) $D_1 + uv$ and D_2 are both edge- k -critical.

Proof: We first prove the following assertion:

$$\text{At most one of } D_1 \text{ and } D_2 \text{ has a near-mod-}k\text{-flow that misses } u \text{ and } v. \quad (1)$$

Suppose (1) is not true. Let f_1 and f_2 be near-mod- k -flows of D_1 and D_2 that miss u and v by x and y respectively. Since k is prime, the inverse $z \equiv y^{-1} \pmod{k}$ exists. Moreover, since $f_2(e)$ is not a multiple of k for every edge e , nor is $-xz f_2(e)$. Thus, $f'_2 = -xz f_2$ is a near-mod- k -flow of D_2 that misses u and v by $-x \pmod{k}$. The union of f_1 and f'_2 is a mod- k -flow of D , a contradiction. Note that the restriction to k prime is only needed in the proof of assertion (1).

Adjust notation if necessary so that

$$D_2 \text{ does not have a near-mod-}k\text{-flow that misses } u \text{ and } v. \quad (2)$$

We now prove that D_1 and $D_2 + uv$ are both edge- k -critical. Clearly,

$$D_2 + uv \text{ does not have a mod-}k\text{-flow.} \quad (3)$$

Otherwise, the restriction of a mod- k -flow of $D_2 + uv$ to D_2 would be a near-mod- k -flow that misses u and v , contradicting (2).

¹We recently proved that this Theorem holds for $k = 4$ also.

Let e_1 be an edge of D_1 . By Corollary 3.2(ii), $D - e_1$ has a mod- k -flow f . The restriction f_1 of f to $D_1 - e_1$ is either a mod- k -flow of $D_1 - e_1$ or a near-mod- k -flow of $D_1 - e_1$ that misses u and v . If f_1 is a near-mod- k -flow of $D_1 - e_1$ that misses u and v , then the restriction f_2 of f to D_2 is a near-mod- k -flow of D_2 that misses u and v , contradicting (2). Thus, f_1 must be a mod- k -flow of $D_1 - e_1$ and, consequently, f_2 is a mod- k -flow of D_2 . We conclude that

$$D_2 \text{ has a mod-}k\text{-flow} \quad (4)$$

and

$$D_1 - e_1 \text{ has a mod-}k\text{-flow.} \quad (5)$$

Assertion (5) holds for every edge e_1 of D_1 . Moreover,

$$D_1 \text{ does not have a mod-}k\text{-flow.} \quad (6)$$

Otherwise, since D_2 has a mod- k -flow as stated in (4), a union of mod- k -flows of D_1 and D_2 would be a mod- k -flow of D , contradicting the hypothesis. We therefore conclude from (5) and (6) that D_1 is edge- k -critical.

Now let e_2 be an edge of D_2 . By Corollary 3.2(ii), $D - e_2$ has a mod- k -flow f . The restriction f_2 of f to $D_2 - e_2$ is either a mod- k -flow of $D_2 - e_2$ or a near-mod- k -flow of $D_2 - e_2$ that misses u and v . If f_2 is a mod- k -flow of $D_2 - e_2$, then the restriction f_1 of f to D_1 is a mod- k -flow of D_1 , contradicting (6). Thus, f_2 must be a near-mod- k -flow of $D_2 - e_2$ that misses u and v . By Lemma 1.1,

$$D_2 + uv - e_2 \text{ has a mod-}k\text{-flow.} \quad (7)$$

Assertion (7) holds for every edge e_2 of D_2 . We conclude from (3), (4) and (7) that $D_2 + uv$ is edge- k -critical. Therefore, both D_1 and $D_2 + uv$ are edge- k -critical.

Note that if it is actually D_1 that does not have a near-mod- k -flow that misses u and v , an analogous argument implies that both $D_1 + uv$ and D_2 are edge- k -critical. \square

Given a digraph D , a *decomposition of D* is a pair of graphs D_1 and D_2 such that D is a composition of D_1 and D_2 . Clearly, a decomposition of D exists if and only if D has a 2-vertex-cut. Essentially, Theorem 4.5 states that, for k prime, we can decompose an edge- k -critical graph that has a 2-vertex-cut into two edge- k -critical graphs.

Curiously, we can also prove that a vertex- k -critical graph that is not 3-connected can be decomposed into two vertex- k -critical graphs if k is prime. The proof, presented below, is analogous to the proof of Theorem 4.5.

Theorem 4.6 *Let k be a prime, D a vertex- k -critical digraph, $\{u, v\}$ a 2-vertex-cut of D and D_1 and D_2 subgraphs of D such that $V(D_1) \cap V(D_2) = \{u, v\}$ and $D = D_1 \cup D_2$. Then, either*

- (i) D_1 and $D_2 + uv$ are both vertex- k -critical, or
- (ii) $D_1 + uv$ and D_2 are both vertex- k -critical.

Proof: We first prove the following assertion:

$$\text{At most one of } D_1 \text{ and } D_2 \text{ has a near-mod-}k\text{-flow that misses } u \text{ and } v. \quad (8)$$

Suppose (8) is not true. Let f_1 and f_2 be near-mod- k -flows of D_1 and D_2 that miss u and v by x and y respectively. Since k is prime, the inverse $z \equiv y^{-1} \pmod{k}$ exists. Moreover, since $f_2(e)$ is not a multiple of k for every edge e , nor is $-xz f_2(e)$. Thus, $f'_2 = -xz f_2$ is a near-mod- k -flow of D_2 that misses u and v by $-x \pmod{k}$. The union of f_1 and f'_2 is a mod- k -flow of D , a contradiction. Note that the restriction to k prime is only needed in the proof of assertion (8).

Adjust notation if necessary so that

$$D_2 \text{ does not have a near-mod-}k\text{-flow that misses } u \text{ and } v. \quad (9)$$

We now prove that D_1 and $D_2 + uv$ are both vertex- k -critical. Clearly,

$$D_2 + uv \text{ does not have a mod-}k\text{-flow.} \quad (10)$$

Otherwise, the restriction of a mod- k -flow of $D_2 + uv$ to D_2 would be a near-mod- k -flow that misses u and v , contradicting (9).

Let u_1 and v_1 be two vertices of D_1 . By Corollary 3.4(ii), $D + u_1 v_1$ has a mod- k -flow f . The restriction f_1 of f to $D_1 + u_1 v_1$ is either a mod- k -flow of $D_1 + u_1 v_1$ or a near-mod- k -flow of $D_1 + u_1 v_1$ that misses u and v . If f_1 is a near-mod- k -flow of $D_1 + u_1 v_1$ that misses u and v , then the restriction f_2 of f to D_2 is a near-mod- k -flow of D_2 that misses u and v , contradicting (9). Thus, f_1 must be a mod- k -flow of $D_1 + u_1 v_1$ and, consequently, f_2 is a mod- k -flow of D_2 . We conclude that

$$D_2 \text{ has a mod-}k\text{-flow} \quad (11)$$

and

$$D_1 + u_1 v_1 \text{ has a mod-}k\text{-flow.} \quad (12)$$

Assertion (12) holds for any two vertices u_1 and v_1 of D_1 . Moreover,

$$D_1 \text{ does not have a mod-}k\text{-flow.} \quad (13)$$

Otherwise, since D_2 has a mod- k -flow as stated in (11), a union of mod- k -flows of D_1 and D_2 would be a mod- k -flow of D , contradicting the hypothesis. We therefore conclude from (12) and (13) that D_1 is vertex- k -critical.

Now let u_2 and v_2 be two vertices of D_2 . By Corollary 3.4(ii), $D + u_2 v_2$ has a mod- k -flow f . The restriction f_2 of f to $D_2 + u_2 v_2$ is either a mod- k -flow of $D_2 + u_2 v_2$ or a near-mod- k -flow of $D_2 + u_2 v_2$ that misses u and v . If f_2 is a mod- k -flow of $D_2 + u_2 v_2$, then the restriction f_1 of f to D_1 is a mod- k -flow of D_1 , contradicting (13). Thus, f_2 must be a near-mod- k -flow of $D_2 + u_2 v_2$ that misses u and v . By Lemma 1.1,

$$D_2 + uv + u_2 v_2 \text{ has a mod-}k\text{-flow.} \quad (14)$$

Assertion (14) holds for any two vertices u_2 and v_2 of D_2 . We conclude from (10) and (14) that $D_2 + uv$ is vertex- k -critical. Therefore, both D_1 and $D_2 + uv$ are vertex- k -critical.

Note that if it is actually D_1 that does not have a near-mod- k -flow that misses u and v , an analogous argument implies that both $D_1 + uv$ and D_2 are vertex- k -critical. \square

5 Examples and Properties of 3-critical graphs

A *mod-3-orientation* of a graph G is an orientation D of G such that the mapping $f(e) = 1$ for every edge e is a mod-3-flow of D . It is not hard to see that a graph has a mod-3-flow if and only if it has a mod-3-orientation.

Given a graph G , we denote by $V_3(G)$ the set of vertices of G having degree 3. In any mod-3-orientation D of G , a vertex $v \in V_3(G)$ must have all its incident edges oriented in the same direction. We say v is a *source* in mod-3-orientation D if its incident edges have tail in v , otherwise v is a *sink*.

Proposition 5.1 *Let G be a graph such that $G[V_3(G)]$ is not bipartite. Then, G does not admit a 3-flow.*

Proof: Let $C = (v_0, v_1, \dots, v_n = v_0)$ be an odd circuit of $G[V_3(G)]$. Suppose G has a mod-3-orientation D . Adjust labels, if necessary do that v_0 is a source in D . Therefore, for every even i in the range $1 \leq i \leq n$ vertex v_i is a source and for every odd i in this same range v_i is a sink. Then, $v_n = v_0$ is a sink, a contradiction. \square

Lemma 5.2 *Every odd wheel is edge-3-critical.*

Proof: Let W_n be an odd wheel such that $v_0, v_1, \dots, v_n = v_0$ are the rim vertices and c is the central vertex. By Proposition 5.1, W_n does not admit a 3-flow. Given the characterization of Corollary 3.2, we must show that for every edge e of W_n , $W_n - e$ has a mod 3-orientation. However, given the many symmetries present in odd wheels, it suffices to consider only one spoke and one rim edge. For the spoke edge $e_s = v_0c$, the graph $W_n - e_s$ certainly has a mod 3-orientation. Simply orient the edges so that v_i is either a source or a sink depending on whether i is odd or even, for all $1 \leq i \leq n - 1$. As illustrated by Figure 6(a), all edges have been oriented and vertex v_0 is balanced. Thus, all vertices are balanced modulo 3. Now consider the rim edge $e_r = v_0v_1$. Orient the edges of $W_n - e_r$ so that so that v_i is either a source or a sink depending on whether i is odd or even, for all $2 \leq i \leq n - 1$. Then orient the remaining edges so as to balance vertices v_0 and v_1 , as illustrated by Figure 6(b). This orientation is a mod 3-orientation for $W_n - e_r$. \square

Corollary 5.3 *Every odd wheel is vertex-3-critical.*

Proof: Odd wheels have diameter 2 and are edge-3-critical. By Corollary 3.7, they are vertex-3-critical as well. \square

Lemma 5.4 *Let G be an edge-3-critical graph. If $G[V_3(G)]$ has an odd circuit C , then every edge of G is incident with a vertex of C and C is chordless.*

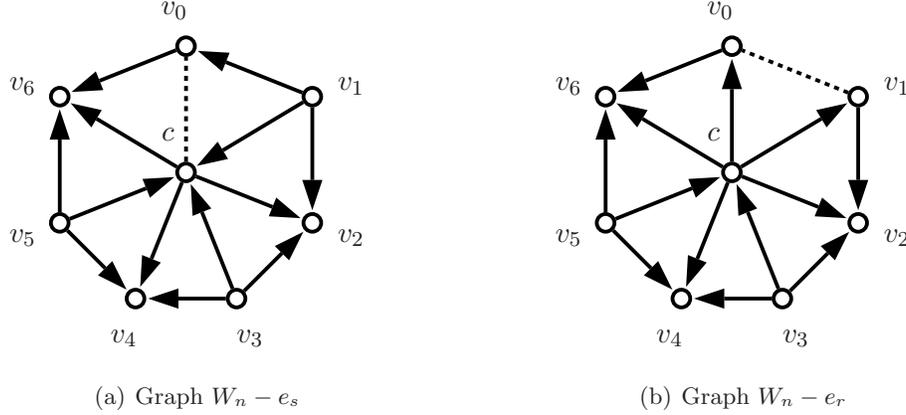


Figure 6: Graphs $W_n - e_s$ and $W_n - e_r$ have mod 3-orientations

Proof: We first prove that every edge of G is incident with a vertex of C . Assume the contrary and let e be an edge of G that is not incident with any vertex of C . Then, $G' := G - e$ does not have a 3-flow since C is an odd circuit of $G'[V_3(G')]$. Thus, by Theorem 3.2, G is not edge-3-critical, a contradiction.

Now, if C is an odd circuit of $G[V_3]$ and e is a chord of C , then e splits C into two segments of different parity. Each segment, together with chord e , forms a circuit. The two circuits have different parities. Let us say C' is the circuit with odd length and C'' is the circuit with even length. By Proposition 3.5, D is simple and so C'' must have length at least four. Thus, one of its edges is not incident with odd circuit C' , a contradiction. \square

Corollary 5.5 *Let G be a loopless vertex-3-critical graph. If $G[V_3(G)]$ has an odd circuit C , then G is an odd wheel and C is its rim.²*

Proof: Since G is edge-3-critical as well, by Lemma 5.4, every edge of G is incident with C . Thus, $V(G) - V(C)$ is an independent set. If $V(G) - V(C)$ is non trivial, let u and v be two distinct vertices of $V(G) - V(C)$. By hypothesis, graph G_{uv} has a mod-3-flow. But C still is an odd circuit of G_{uv} induced by its vertices of degree 3. Thus, by Proposition 5.1, G_{uv} does not have a mod-3-flow, a contradiction. We conclude $V(G) - V(C)$ must be trivial. Moreover, C is chordless. Therefore G is an odd wheel with rim C . \square

Lemma 5.6 *Let G be an edge-3-critical graph distinct from K_4 . Then, $G[V_3(G)]$ has no even circuit.*

Proof: Assume by contradiction that $G[V_3(G)]$ has an even circuit. Let C be an even circuit of $G[V_3(G)]$ of minimum length. If C has a chord e , then e splits C into two segments of same parity. Each segment together with e form a circuit. Therefore, by the minimality of C , the two circuits must have odd length. By Lemma 5.4, each segment must have precisely

²We proved recently an analog of this assertion for G edge-3-critical.

length 2, otherwise the segment has an edge that is not incident with the odd circuit formed by the other segment and the chord e . Since all vertices in C have degree three and every edge of G must be incident with both odd circuits, G has one more edge only that joins the internal vertices of both segments. But then G is K_4 , a contradiction.

We may thus assume that C has no chords. Let v be an arbitrary vertex of C with neighbours v_1, v_2 and v_3 . Take v_1 and v_2 to be the neighbours of v in C and v_3 the one not in C . Let e be the edge vv_3 . In any mod 3-orientation of graph $G' := G - e$, vertices v_1 and v_2 must be both sources or sinks, since v_1, v_2 are the ends of a path of odd length in $G'[V_3(G')]$. This implies that no mod 3-orientation G' will ever balance vertex v that has degree two in G' . So we conclude G is not edge-3-critical, a contradiction. \square

By Lemma 5.6 no biwheel and no prism are edge-3-critical. Also, no Möbius ladder other than K_4 is edge-3-critical. All such graphs have an even circuit induced by the vertices of degree three.

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