

# Perfect Secrecy

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# Introduction

## Objectives:

- How can we determine if a system is secure?
- We need more precise metrics than simple guidelines.

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- How can we determine if a system is secure?
- We need more precise metrics than simple guidelines.

## Hidden intentions:

- Discuss an upper bound for security.
- Detect if the requirements for attaining the upper bound are viable in practice.

# Security notions

## 1 Computational Security(asymptotic):

- Cost of best known attack exceeds adversary power.
- Security against one type of attack does not exclude others.

## 2 Provable security (conditional):

- Reduction from a conjectured hard problem to the cryptosystem problem.
- Sometimes, the problem was not as hard as it seemed.
- Analogous to NP-completeness reductions.

## 3 Unconditional security:

- Resists attacks with unlimited computational power.
- The only possible Cryptanalysis must be outside the threat model.

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**Focus:** Unconditionally secure cryptosystems against passive attacks.

**Note:** We need probability, not complexity theory!

# Importance of precise definitions

**Example:** How to formalize security of a cryptosystem with relation to confidentiality?

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## Final Answer

Secure if an adversary cannot compute a function of the plaintext from ciphertext only.

# Probability

## Definition

A **discrete random variable**  $\mathbf{X}$  consists in a finite set  $X$  and a **probability distribution** defined over  $X$ . The probability of a symbol  $\mathbf{X}$  taking value  $x$  is denoted by  $\Pr[\mathbf{X} = x]$  or  $\Pr[x]$  and is such that  $0 \leq \Pr[x]$  and  $\forall x \in X, \sum_{x \in X} \Pr[x] = 1$ .

## Event

A subset  $E \subseteq X$  is an **event** if  $\Pr[x \in E] = \sum_{x \in E} \Pr[x]$ .

## Examples:

- 1 Coin:  $\Pr[\text{heads}] = \Pr[\text{tails}] = 1/2$ .
- 2 Sum of two unbiased dice:  
 $\Pr[2] = \Pr[12] = 1/36, \Pr[3] = \Pr[11] = 1/18, \Pr[4] = 1/12$ .

# Probability

Let  $\mathbf{X}$  and  $\mathbf{Y}$  discrete random variables in the sets  $X$  e  $Y$ , respectively.

## Joint probability

The **joint probability**  $\Pr[x, y]$  is the probability of  $\mathbf{X}$  taking value  $x$  and  $\mathbf{Y}$  taking value  $y$ .

## Conditional probability

The **conditional probability**  $\Pr[x|y]$  is the probability of  $\mathbf{X}$  taking value  $x$ , given that  $\mathbf{Y}$  takes value  $y$ .

## Independent random variables

Random variables  $\mathbf{X}$  and  $\mathbf{Y}$  are independent if  
 $\forall x \in X, \forall y \in Y, \Pr[x, y] = \Pr[x]\Pr[y]$ .

# Probability

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## Bayes' Theorem

If  $\Pr[y] > 0$  then:

$$\Pr[x|y] = \frac{\Pr[x]\Pr[y|x]}{\Pr[y]}$$

**Corollary:**  $\mathbf{X}$  and  $\mathbf{Y}$  are independent variables iff  
 $\forall x \in X, \forall y \in Y, \Pr[x|y] = \Pr[x]$ .

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$\forall x \in X, \forall y \in Y, \Pr[x|y] = \Pr[x]$ .

**Example:**  $\mathbf{X}$  is the sum of two dice,  $\mathbf{Y}$  is the equality of two sides:

$\Pr[equal] = \frac{1}{6}$ ,  $\Pr[\neg equal] = \frac{5}{6}$ ,  $\Pr[equal|4] = \frac{1}{3}$ ,  $\Pr[4|equal] = \frac{1}{6}$ .



# Application to cryptography

Suppose the following probabilities:

- Random variable  $\mathbf{K}$  (key).
- Random variable  $\mathbf{M}$  (plaintext).
- Random variable  $\mathbf{C}$  (ciphertext).
- $\mathbf{K}$  and  $\mathbf{M}$  are independent.

We have that:

- Probability of a certain key is  $\Pr[\mathbf{K} = K]$ .
- Probability *a priori* of a certain plaintext is  $\Pr[\mathbf{M} = m]$ .
- Probability *a posteriori* of a certain ciphertext is  $\Pr[\mathbf{C} = c]$ .

**Convention:** Consider non-zero probabilities only.

# Ciphertext probability

## Definitions

Let  $C(k) = Enc_k(m)$ ,  $m \in \mathcal{M}$  the **set of valid ciphertexts** for key  $k$ .

$$\forall c \in \mathcal{C}, \Pr[\mathbf{C} = c] = \sum_{k, c \in C(k)} \Pr[\mathbf{K} = k] \Pr[\mathbf{M} = Dec_k(c)].$$

We can compute conditional probabilities:

$$- \Pr[\mathbf{C} = c | \mathbf{M} = m] = \sum_{k, m = Dec_k(c)} \Pr[\mathbf{K} = k]$$

$$- \Pr[\mathbf{M} = m | \mathbf{C} = c] = \frac{\Pr[\mathbf{M} = m] \cdot \sum_{k, m = Dec_k(c)} \Pr[\mathbf{K} = k]}{\sum_{k, c \in C(k)} \Pr[\mathbf{K} = k] \Pr[\mathbf{M} = Dec_k(c)]}.$$

# Perfect Secrecy

## Definition

Let  $Gen, Enc, Dec$  functions for key generation, encryption and decryption. A cryptosystem  $(Gen, Enc, Dec)$  provides **perfect secrecy** iff  $\forall m \in \mathcal{M}, \forall c \in \mathcal{C}$  and over any probability distribution over  $\mathcal{M}$ :

$$Pr[\mathbf{M} = m | \mathbf{C} = c] = Pr[\mathbf{M} = m].$$

In other words:

$$Pr[\mathbf{C} = c | \mathbf{M} = m] = Pr[\mathbf{C} = c].$$

The probability of a plaintext  $m$ , given that the ciphertext  $c$  was observed is identical to the *a priori* probability of plaintext  $m$ .

**Important:** Do transposition ciphers attain perfect secrecy?

# Perfect indistinguishability

## Lemma

A cryptosystem  $(Gen, Enc, Dec)$  over a message space  $\mathcal{M}$  provides perfect secrecy iff  $\forall m_0, m_1 \in \mathcal{M}, \forall c \in \mathcal{C}$  for all probability distributions over  $\mathcal{M}$ :

$$\Pr[\mathbf{C} = c | \mathbf{M} = m_0] = \Pr[\mathbf{C} = c | \mathbf{M} = m_1].$$

Prova:

→ If a system provides perfect secrecy,

$$\Pr[\mathbf{C} = c | \mathbf{M} = m_0] = \Pr[\mathbf{C} = c] = \Pr[\mathbf{C} = c | \mathbf{M} = m_1].$$

← Let  $m_0 \in \mathcal{M}$  and  $p = \Pr[\mathbf{C} = c | \mathbf{M} = m_0] = \Pr[\mathbf{C} = c | \mathbf{M} = m]$ .

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$$\Pr[\mathbf{C} = c] = \sum_{m \in \mathcal{M}} \Pr[\mathbf{C} = c | \mathbf{M} = m] \Pr[\mathbf{M} = m]$$

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# Adversarial indistinguishability

## Definition

Let  $\mathcal{A}$  a passive adversary,  $\Pi = (Gen, Enc, Dec)$  a cryptosystem and  $Priv_{\mathcal{A}, \Pi}^{eav}$  the execution of an experiment with  $\mathcal{A}$ :

- 1  $\mathcal{A}$  produces messages  $m_0, m_1 \in \mathcal{M}$ .
- 2 Key  $k$  is generated from  $Gen$  and a random bit  $b$  is chosen. Then  $c = Enc_k(m_b)$  is computed and given to  $\mathcal{A}$ .
- 3  $\mathcal{A}$  outputs bit  $b'$
- 4 The output of the experiment is 1 if  $b' = b$  and 0 otherwise.  $\mathcal{A}$  is successful when  $Priv_{\mathcal{A}, \Pi}^{eav} = 1$ .

A cryptosystem  $\Pi = (Gen, Enc, Dec)$  over a message space  $\mathcal{M}$  provides perfect secrecy if for all adversaries  $\mathcal{A}$ :

$$\Pr[Priv_{\mathcal{A}, \Pi}^{eav} = 1] = \frac{1}{2}.$$



# Perfect secrecy

## Theorem

Let  $\mathcal{M} = \mathcal{C} = \mathcal{K} = \mathbb{Z}_n$ , with integer  $n$ . Suppose that the  $n$  keys from the shift cipher are used with uniform probability. Then, for any plaintext probability distribution, the shift cipher provides perfect secrecy.

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$$\Pr[\mathbf{C} = c] = \sum_{k \in \mathbb{Z}_n} \Pr[\mathbf{K} = k] \Pr[\mathbf{M} = \text{Dec}_k(c)]$$

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## Perfect Secrecy

For a fixed  $c$ , values  $(c - k) \bmod n$  form a permutation of  $\mathbb{Z}_n$ . Then:

$$\sum_{k \in \mathbb{Z}_n} \Pr[\mathbf{M} = (c - k) \bmod n] = \sum_{m \in \mathbb{Z}_n} \Pr[\mathbf{M} = m] = 1$$

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We also have that:

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By Bayes' Theorem:

$$\Pr[m|c] = \frac{\Pr[m]\Pr[c|m]}{\Pr[c]} = \frac{\Pr[m]\frac{1}{n}}{\frac{1}{n}} = \Pr[m]. \quad \square$$



# Perfect Secrecy

## Shannon Theorem

Let  $S$  be a cryptosystem with  $|\mathcal{K}| = |\mathcal{C}| = |\mathcal{M}|$ .  $S$  provides perfect secrecy iff all possible keys are chosen with probability  $1/|\mathcal{K}|$  and  $\forall m \in \mathcal{M}, \forall c \in \mathcal{C}$  there is a single key such that  $c = Enc_k(m)$ .

**Proof:** Suppose that  $S$  provides perfect secrecy. By assumption,  $|\mathcal{C}| = |Enc_k(m), k \in \mathcal{K}| = |\mathcal{K}|$ . Hence, there are no  $k_1 \neq k_2$  such that  $Enc_{k_1}(m) = Enc_{k_2}(m) = c$ .

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Let  $n = |\mathcal{K}|$ ,  $\mathcal{M} = m_i, 1 \leq i \leq n$  and  $c \in \mathcal{C}$  a fixed ciphertext. We can label keys  $k_1, k_2, \dots, k_n$  such that  $Enc_{k_i}(m_i) = c$ . By Bayes' Theorem:

$$\Pr[m_i|c] = \frac{\Pr[c|m_i]\Pr[m_i]}{\Pr[c]} = \frac{\Pr[K = k_i]\Pr[m_i]}{\Pr[c]}$$

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For a system providing perfect secrecy:

$$\Pr[m_i|c] = \Pr[m_i] \Rightarrow \Pr[k_i] = \Pr[c] \Rightarrow \Pr[k_i] = 1/|\mathcal{K}|. \quad \square$$

# One-time pad

## Definition

Let  $n \geq 1$  and integer and  $\mathcal{M} = \mathcal{C} = \mathcal{K} = (\mathbb{Z}_2)^n$ . For  $k \in (\mathbb{Z}_2)^n$ , let  $Enc_k(m) = m \oplus k$  e  $Dec_k(c) = c \oplus k$ , with random choice of  $k$ .

Advantages:

- Perfect secrecy (shift cipher defined over  $\mathbb{Z}_2$ ).
- Efficiency.

Disadvantages:

- $|\mathcal{K}| \geq |\mathcal{P}|$ .
- Per-message random key.
- Vulnerable against known plaintext attacks.
- Complex key management.

Traditionally, cipher used only by military and diplomacy.