# Image Processing using Graphs (lecture 5 - clustering and classification)

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- The applications are in many fields of the sciences and engineering.
- Our main focus has been on image analysis.

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- In supervised learning, a labeled set  $\mathcal{T} \subset \mathcal{Z}$  is available to train the classifier.
- In unsupervised learning, there is no knowledge about the labels in  $\mathcal{T}$ . Clusters can be found and class labels may be assigned to them based on some prior knowledge.

Some common mistakes are to assume that

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- the probability density function of the classes/clusters presents known shapes for parametric modeling.

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- A connectivity function  $f(\pi_t)$  assigns a value to any path  $\pi_t$  from its root  $R(\pi_t)$  to its terminal node t.

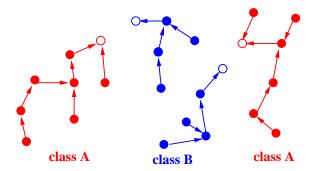
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- A connectivity function  $f(\pi_t)$  assigns a value to any path  $\pi_t$  from its root  $R(\pi_t)$  to its terminal node t.
- The minimization (maximization) of the connectivity map

$$V(s) = \min_{\forall t \in \Pi(\mathcal{T}, \mathcal{A}, t)} \{f(\pi_t)\}$$

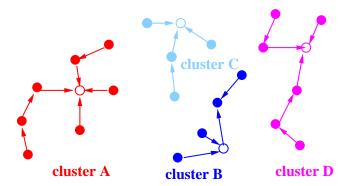
produces an optimum-path forest rooted at nodes called prototypes.



In supervised learning, each class is an optimum-path forest rooted at its prototypes, which propagate the class label to the remaining nodes of the forest.



In unsupervised learning, each cluster is an optimum-path tree rooted at some prototype, which propagates a cluster label to the remaining nodes of the tree.



• In this methodology, the classes may present arbitrary shapes with some degree of overlapping.

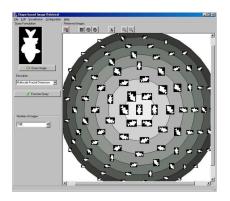
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- Both learning approaches are fast and robust for training sets of reasonable sizes.
- Label propagation to new samples  $t \in \mathcal{Z} \setminus \mathcal{T}$  is efficiently performed based on a local processing of the forest's attributes and distances between nodes  $s \in \mathcal{T}$  and t.

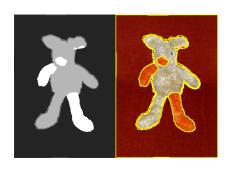




 Supervised classification by OPF [1].

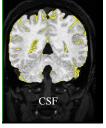


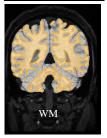
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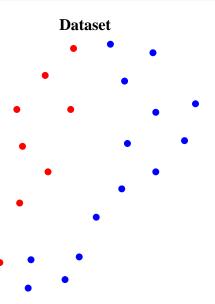






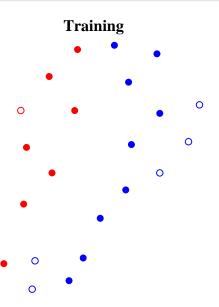
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- Its application to 3D brain tissue segmentation [4].

# Supervised classification



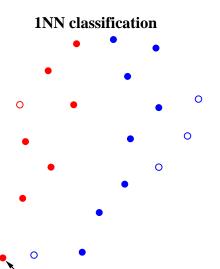
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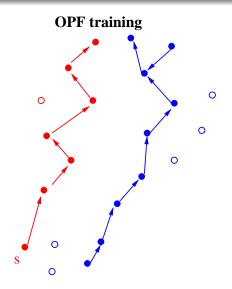


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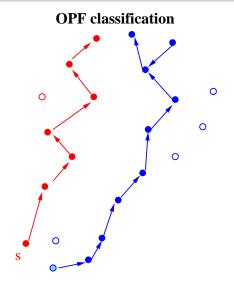
# Supervised classification



- Consider samples from two classes of a dataset.
- A training set (filled bullets) may not represent the data distribution.
- Classification by nearest neighbor fails, when training samples are close to test samples (empty bullets) from other classes.



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- V(s) can then be used to reduce the power of s to classify new samples.

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$$f_{\sf max}(\langle t \rangle) = \begin{cases} 0 & \text{if } t \in \mathcal{S} \\ +\infty & \text{otherwise} \end{cases}$$
  
 $f_{\sf max}(\pi_s \cdot \langle s, t \rangle) = \max\{f_{\sf max}(\pi_s), d(s, t)\}$ 

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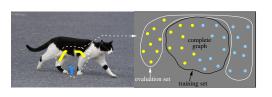
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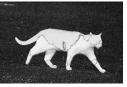
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• The prototypes are the closest samples between classes.

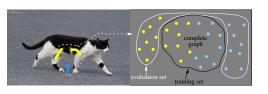


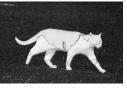
We used this idea to enhance objects in lecture 3 where  $\mathcal{Z} = \mathcal{D}_I$ .



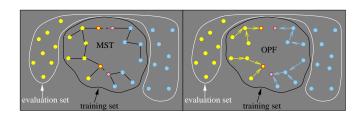


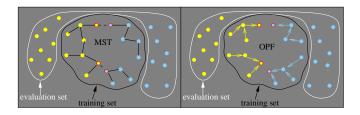
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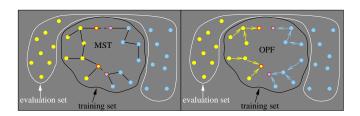


Even marker nodes may constitute large labeled sets, but they can be divided into a smaller training set  $\mathcal T$  and a larger evaluation set  $\mathcal E$  such that the most representative samples for  $\mathcal T$  can be learned from  $\mathcal E$ .

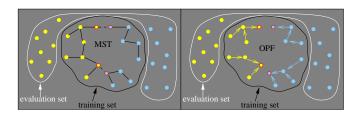




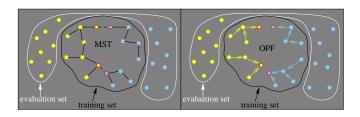
• A minimum spanning tree is computed in  $(\mathcal{T}, \mathcal{A})$  and nodes that share arcs between distinct classes are taken as prototypes in  $\mathcal{S}$ .



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- Object and background are then represented by optimum-path forests rooted in S (i.e., a pixel classifier).



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- Misclassified nodes in  $\mathcal E$  are replaced by non-prototypes in  $\mathcal T$  and the whole process is repeated for a few iterations in order to select the most representative nodes for  $\mathcal T$ .

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- Let  $V_o(t)$  and  $V_b(t)$  be the optimum values in the above equation for object and background forests, then a fuzzy object membership  $\frac{V_b(t)}{V_o(t)+V_b(t)}$  can be assigned to every spel  $t \in \mathcal{D}_I$ .

### Supervised OPF-training algorithm

#### **Algorithm**

- Supervised Training by Optimum-Path Forest

```
For each t \in T \setminus S, set V(t) \leftarrow +\infty.
     For each t \in S, set L(t) \leftarrow \lambda(t), V(t) \leftarrow 0 and insert t in Q.
3.
     While Q is not empty, do
4.
             Remove from Q a node s such that V(s) is minimum.
5.
             Insert s in T'.
6.
             For each t \in \mathcal{T} such that V(t) > V(s), do
7.
                    Compute tmp \leftarrow \max\{V(s), d(s, t)\}.
8.
                    If tmp < V(t), then
9.
                           If V(t) \neq +\infty, remove t from Q.
10.
                           Set V(t) \leftarrow tmp and L(t) \leftarrow L(s).
11.
                           Insert t in Q.
```

The role of the ordered set  $\mathcal{T}'$  is to speed up classification [5], which can halt when  $\max\{V(s),d(s,t)\}< V(s')$  for a node s' whose position in  $\mathcal{T}'$  succeeds the position of s, while evaluating

$$V(t) = \min_{\forall s \in \mathcal{T}'} \{ \max\{V(s), d(s, t)\} \}.$$

### Prototype estimation

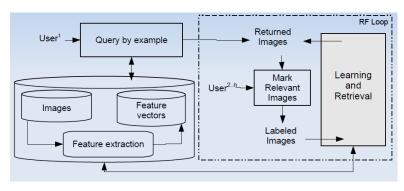
The minimum spanning tree can be obtained from the same algorithm by

using a non-smooth function

$$f_{mst}(\langle t \rangle) = egin{cases} 0 & ext{for an arbitrary node } t \in \mathcal{T} \ +\infty & ext{otherwise}, \ f_{mst}(\pi_s \cdot \langle s, t \rangle) &= w(s,t), \end{cases}$$

• and replacing V(t) > V(s) in Line 6 by  $V(t) = +\infty$  or  $t \in Q$ .

The OPF classifier has provided effective and efficient image retrieval from a few iterations of relevance feedback.



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- The relevant candidates are ordered based on their average distances to the relevant prototypes.

For a query image using the Corel database and the BIC image descritor [6].



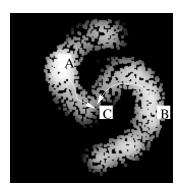
First iteration only returns the 30 closest images to the query one.



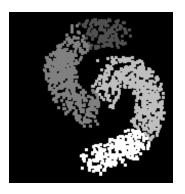
After three iterations, the 30 most relevant images are.



For unsupervised learning, we estimate a probability density function (pdf) and the maxima of the pdf compete with each other, such that each cluster will be an optimum-path tree rooted at one maximum of the pdf.

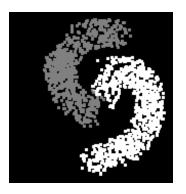


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It is also possible to eliminate clusters of irrelevant maxima by choice of the connectivity function.

The unlabeled training samples form a knn-graph  $(\mathcal{T}, \mathcal{A}_k)$  with adjacency relation

 $A_k$ :  $(s,t) \in A_k$  (or  $t \in A_k(s)$ ) if t is k nearest neighbor of s using the distance space.

The best value of k is the one whose clustering produces a minimum normalized graph cut in  $(\mathcal{T}, \mathcal{A}_k)$ .

The graph is weighted on the arcs  $(s,t) \in A_k$  by d(s,t) and on the nodes by the pdf  $\rho(s)$ .

$$\rho(s) = \frac{1}{\sqrt{2\pi\sigma^2}|\mathcal{A}_k(s)|} \sum_{\forall t \in \mathcal{A}_k(s)} \exp\left(\frac{-d^2(s,t)}{2\sigma^2}\right)$$

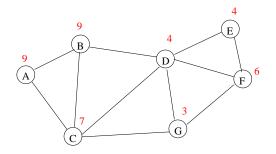
where  $\sigma = \frac{d_f}{3}$  and  $d_f = \max_{\forall (s,t) \in \mathcal{A}_k} \{d(s,t)\}$ . The pdf is usually normalized within an interval [1,K].

The connectivity map V(t) is maximized for

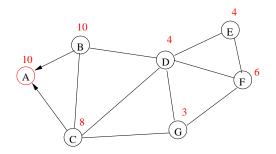
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ho(t) & ext{if } t \in \mathcal{R} \\ 
ho(t) - 1 & ext{otherwise} \end{array} 
ight.$$
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ho(t)\}$ 

where  $\mathcal{R}$  is the root set found on-the-fly and arcs are added in  $\mathcal{A}_k$  to guarantee arc symmetry on the plateaus of the pdf.

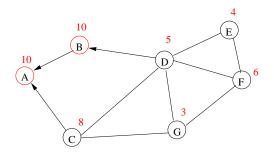
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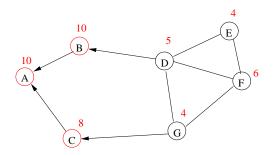
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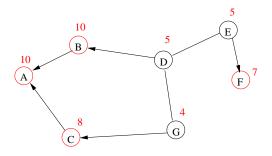
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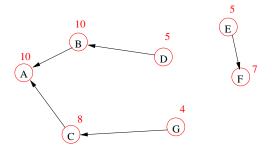
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### **OPF-clustering algorithm**

#### **Algorithm**

- Clustering by Optimum Path Forest

```
Set lb \leftarrow 1.
    For each s \in \mathcal{T}, set V(s) \leftarrow \rho(s) - 1 and insert s in Q.
3.
    While Q is not empty, do
4.
            Remove from Q a sample s such that V(s) is maximum
5.
            Insert s in \mathcal{T}'.
6.
            If P(s) = nil, then
7.
               L Set L(s) ← lb, lb ← lb + 1, and V(s) ← \rho(s).
8.
            For each t \in A_k(s) and V(t) < V(s), do
9.
                   Compute tmp \leftarrow \min\{V(s), \rho(t)\}.
10.
                   If tmp > V(t) then
11.
                          Set L(t) \leftarrow L(s) and V(t) \leftarrow tmp.
12.
                         Update position of t in Q.
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#### Label propagation

The role of the ordered set  $\mathcal{T}'$  is to speed up label propagation to new nodes  $t \in \mathcal{Z} \setminus \mathcal{T}$  [4], which can halt when  $s^*$  is found in

$$V(s^*) = \max_{\forall s \in \mathcal{T}' | d(s,t) \leq \omega(s)} \{V(s)\},$$

where  $\omega(s)$  is the maximum distance between s and its k-nearest neighbors in  $\mathcal{T}$ . The node t then receives label  $L(s^*)$ .

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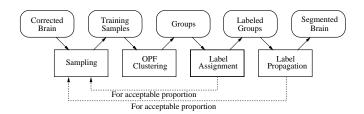
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- The OPF clustering can find in T groups of voxels, mostly from a same class.
- Class labels are assigned to each group and propagated to the remaining voxels in  $\mathcal{Z}$ .

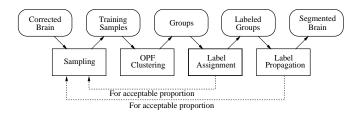


- The brain voxels are first classified into CSF or GM+WM and then classified into GM or WM, because the method requires different parameters (e.g., different features and  $A_k$ ) in each case.
- Let  $\mathcal{Z}$  be a set of brain voxels from two classes.
- A feature vector  $\vec{v}(t)$  is assigned to every voxel  $t \in \mathcal{Z}$  and  $d(s,t) = \|\vec{v}(t) \vec{v}(s)\|$ .
- A small training set  $T \subset \mathcal{Z}$  is obtained by random sampling.
- The OPF clustering can find in T groups of voxels, mostly from a same class.
- Class labels are assigned to each group and propagated to the remaining voxels in  $\mathcal{Z}$ .
- The process may be repeated until it achieves an acceptable result.

# Brain tissue segmentation

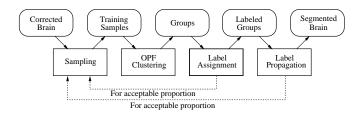


### Brain tissue segmentation



• For MRT1-images, group labeling is done from the darkest to the brightest cluster until the size proportion p between the classes is the closest to a previously estimated value  $p_T$ , which is obtained by automatic thresholding.

### Brain tissue segmentation



- For MRT1-images, group labeling is done from the darkest to the brightest cluster until the size proportion p between the classes is the closest to a previously estimated value  $p_T$ , which is obtained by automatic thresholding.
- The acceptance criterion requires that  $p \in [p_T \delta, p_T + \delta]$ , whose value of  $\delta$  increases at every m sampling attempts.

#### Conclusion

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#### Conclusion

- We presented the design of fast and effective clustering and classification methods based on optimum-path forest.
- These methods have been succeeded not only in image retrieval [2] and medical imaging [4], but also in several other applications.
- Their C source code is available in www.ic.unicamp.br/~afalcao/libopf.

# Acknowledgments

- FAPESP.
- The organizing committee.
- My students and other collaborators.
- You all for the participation.

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