# Image Processing using Graphs (lecture 5 - clustering and classification)

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- We need more efficient and effective pattern recognition methods for large datasets.
- The applications are in many fields of the sciences and engineering.
- Our main focus has been on image analysis.

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- In supervised learning, a labeled set T ⊂ Z is available to train the classifier.
- In unsupervised learning, there is no knowledge about the labels in  $\mathcal{T}$ . Clusters can be found and class labels may be assigned to them based on some prior knowledge.

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- they do not overlap each other.
- one cluster corresponds to one class.
- the probability density function of the classes/clusters present known shapes for parametric modeling.

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#### Introduction

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#### Introduction

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- A graph  $(\mathcal{T}, \mathcal{A})$  is defined by an adjacency relation  $\mathcal{A}$  between training samples using the distance space.
- A connectivity function f(π<sub>t</sub>) assigns a value to any path π<sub>t</sub> from its root R(π<sub>t</sub>) to its terminal node t.

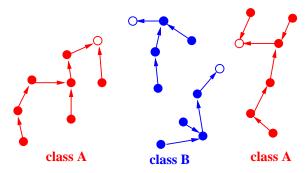
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- The minimization (maximization) of the connectivity map

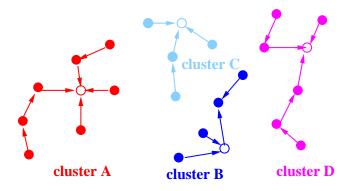
$$V(s) = \min_{\forall t \in \Pi(\mathcal{T}, \mathcal{A}, t)} \{f(\pi_t)\}$$

produces an optimum-path forest rooted at nodes called prototypes.

In supervised learning, each class is an optimum-path forest rooted at its prototypes, which propagate the class label to the remaining nodes of the forest.



In unsupervised learning, each cluster is an optimum-path tree rooted at some prototype, which propagates a cluster label to the remaining nodes of the tree.



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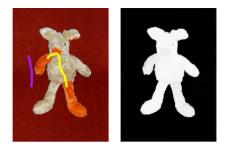
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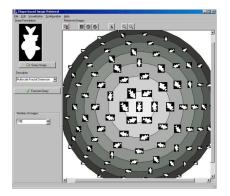
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- Both learning approaches are fast and robust for training sets of reasonable sizes.
- Label propagation to new samples  $t \in \mathbb{Z} \setminus \mathcal{T}$  is efficiently performed based on a local processing of the forest's attributes and distances between nodes  $s \in \mathcal{T}$  and t.



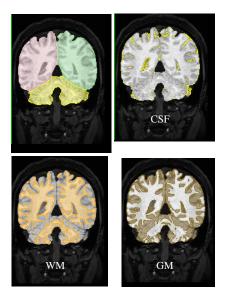
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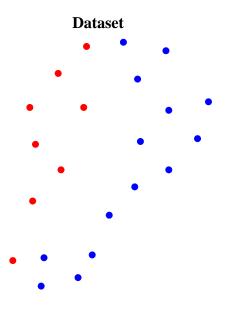


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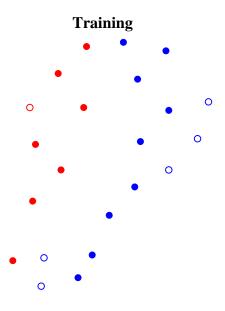
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- Its application to 3D brain tissue segmentation [4].

#### Supervised classification



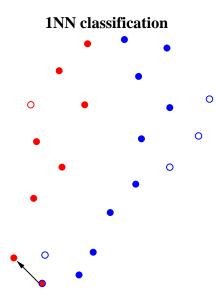
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## Supervised classification

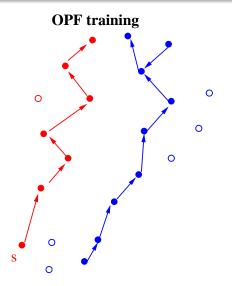


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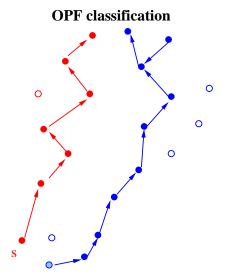
## Supervised classification



- Consider samples from two classes of a dataset.
- A training set (filled bullets) may not represent data distribution.
- Classification by nearest neighbor fails, when training samples are close to test samples (empty bullets) from other classes.



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- V(s) can then be used to reduce the power of s to classify new samples.

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- For a given set S ⊂ T of prototypes from all classes, the connectivity map V(t) is minimized for

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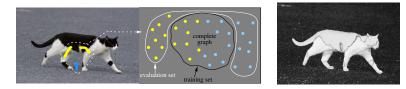
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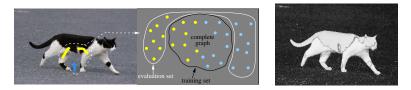
• The prototypes are the closest samples between classes.

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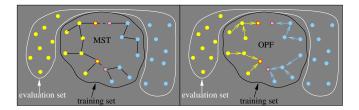
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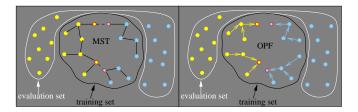


Even marker nodes may constitute large labeled sets, but they can be divided into a smaller training set  $\mathcal{T}$  and a larger evaluation set  $\mathcal{E}$  such that the most representative samples for  $\mathcal{T}$  can be learned from  $\mathcal{E}$ .



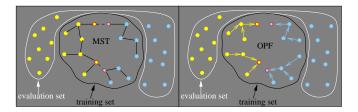
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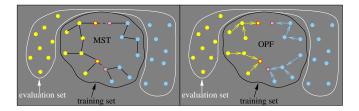
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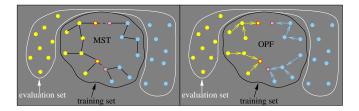
- A minimum spanning tree is computed in  $(\mathcal{T}, \mathcal{A})$  and nodes that share arcs between distinct classes are taken as prototypes in  $\mathcal{S}$ .
- Object and background are then represented by optimum-path forests rooted in S (i.e., a pixel classifier).

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• Prototypes compete among themselves and nodes in the evaluation set  $\mathcal{E}$  are classified in the tree whose prototype offers an optimum path to it.

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- Misclassified nodes in  $\mathcal{E}$  are replaced by non-prototypes in  $\mathcal{T}$  and the whole process is repeated for a few iterations in order to select the most representative nodes for  $\mathcal{T}$ .

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- Let s<sup>\*</sup> ∈ T be the node that satisfies this equation, then the class of t is assumed to be L(s<sup>\*</sup>).
- Let  $V_o(t)$  and  $V_b(t)$  be the optimum values in the above equation for object and background forests, then a fuzzy object membership  $\frac{V_b(t)}{V_o(t)+V_b(t)}$  can be assigned to every spel  $t \in D_I$ .

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## Supervised OPF-training algorithm

#### Algorithm

- Supervised Training by Optimum-Path Forest

For each  $t \in \mathcal{T} \setminus \mathcal{S}$ , set  $V(t) \leftarrow +\infty$ . 1. 2. For each  $t \in S$ , set  $L(t) \leftarrow \lambda(t)$ ,  $V(t) \leftarrow 0$  and insert t in Q. 3. While Q is not empty, do 4. Remove from Q a node s such that V(s) is minimum. 5. Insert s in T'. 6. For each  $t \in \mathcal{T}$  such that V(t) > V(s), do 7. Compute tmp  $\leftarrow \max\{V(s), d(s, t)\}$ . 8. If tmp < V(t), then 9. If  $V(t) \neq +\infty$ , remove t from Q. 10. Set  $V(t) \leftarrow tmp$  and  $L(t) \leftarrow L(s)$ . 11. Insert t in Q.

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The role of the ordered set  $\mathcal{T}'$  is to speed up classification [5], which can halt when max $\{V(s), d(s, t)\} < V(s')$  for a node s' whose position in  $\mathcal{T}'$  succeeds the position of s, while evaluating

$$V(t) = \min_{\forall s \in \mathcal{T}'} \{ \max\{V(s), d(s, t)\} \}.$$

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The minimum spanning tree can be obtained from the same algorithm by

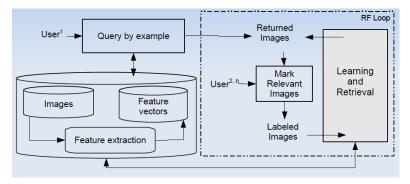
• using a non-smooth function

$$egin{aligned} &f_{mst}(\langle t
angle) &= & \left\{ egin{aligned} 0 & ext{for an arbitrary node }t\in\mathcal{T} \ +\infty & ext{otherwise}, \end{aligned}
ight. \ &f_{mst}(\pi_s\cdot\langle s,t
angle) &= & w(s,t), \end{aligned}$$

• and replacing V(t) > V(s) in Line 6 by  $V(t) = +\infty$  or  $t \in Q$ .

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The OPF classifier has provided effective and efficient image retrieval from a few iterations of relevance feedback.



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- An OPF classifier is projected and used to select relevant candidates from the image database  $\mathcal{Z}$ .
- The relevant candidates are ordered based on their average distances to the relevant prototypes.

For a query image using the Corel database and the BIC image descritor [6].



## Application to Image Retrieval

First iteration only returns the 30 closest images to the query one.



## Application to Image Retrieval

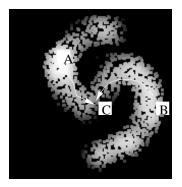
After three iterations, the 30 most relevant images are.



Alexandre Xavier Falcão Image Processing using Graphs at ASC-SP 2010

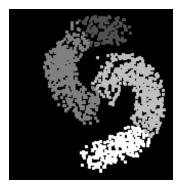
## Clustering

For unsupervised learning, we estimate a probability density function (pdf) and the maxima of the pdf compete with each other, such that each cluster will be an optimum-path tree rooted at one maximum of the pdf.



# Clustering

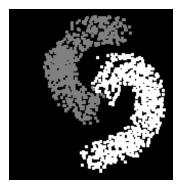
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# Clustering

For unsupervised learning, we estimate a probability density function (pdf) and the maxima of the pdf compete with each other, such that each cluster will be an optimum-path tree rooted at one maximum of the pdf.



It is also possible to eliminate clusters of irrelevant maxima by choice of the connectivity function.

The unlabeled training samples form a knn-graph  $(\mathcal{T}, \mathcal{A}_k)$  with adjacency relation

$$\mathcal{A}_k$$
 :  $(s,t) \in \mathcal{A}_k$  (or  $t \in \mathcal{A}_k(s)$ ) if  $t$  is  $k$  nearest neighbor of  $s$  using the distance space.

The best value of k is the one whose clustering produces a minimum normalized graph cut in  $(\mathcal{T}, \mathcal{A}_k)$ .

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The graph is weighted on the arcs  $(s, t) \in A_k$  by d(s, t) and on the nodes by the pdf  $\rho(s)$ .

$$\rho(s) = \frac{1}{\sqrt{2\pi\sigma^2}|\mathcal{A}_k(s)|} \sum_{\forall t \in \mathcal{A}_k(s)} \exp\left(\frac{-d^2(s,t)}{2\sigma^2}\right)$$

where  $\sigma = \frac{d_f}{3}$  and  $d_f = \max_{\forall (s,t) \in A_k} \{d(s,t)\}$ . The pdf is usually normalized within an interval [1, K].

#### The connectivity map V(t) is maximized for

$$egin{array}{rl} f_{\mathsf{min}}(\langle t 
angle) &=& \left\{ egin{array}{ll} 
ho(t) & ext{if } t \in \mathcal{R} \ 
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where  $\mathcal{R}$  is the root set found on-the-fly and arcs are added in  $\mathcal{A}_k$  to guarantee arc symmetry on the plateaus of the pdf.

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## **OPF-clustering** algorithm

#### Algorithm

- Clustering by Optimum Path Forest

```
1.
    Set lb \leftarrow 1.
2.
    For each s \in T, set V(s) \leftarrow \rho(s) - 1 and insert s in Q.
3
     While Q is not empty, do
4
             Remove from Q a sample s such that V(s) is maximum
5.
             Insert s in \mathcal{T}'.
6.
             If P(s) = nil, then
7.
               L Set L(s) \leftarrow lb, lb \leftarrow lb + 1, and V(s) \leftarrow \rho(s).
8.
             For each t \in A_k(s) and V(t) < V(s), do
9.
                    Compute tmp \leftarrow min{V(s), \rho(t)}.
10.
                    If tmp > V(t) then
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                           Set L(t) \leftarrow L(s) and V(t) \leftarrow tmp.
12.
                          Update position of t in Q.
```

The role of the ordered set  $\mathcal{T}'$  is to speed up label propagation to new nodes  $t \in \mathcal{Z} \setminus \mathcal{T}$  [4], which can halt when  $s^*$  is found in

$$V(s^*) = \max_{\forall s \in \mathcal{T}' \mid d(s,t) \leq \omega(s)} \{V(s)\},$$

where  $\omega(s)$  is the maximum distance between s and its k-nearest neighbors in  $\mathcal{T}$ . The node t then receives label  $L(s^*)$ .

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- The OPF clustering can find in  $\mathcal{T}$  groups of voxels, mostly from a same class.

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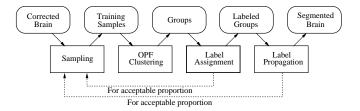
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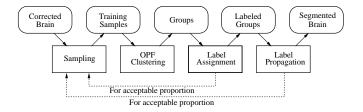
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- The process may be repeated until it achieves an acceptable result.

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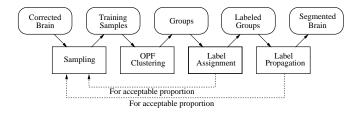
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• For MRT1-images, group labeling is done from the darkest to the brightest cluster until the size proportion *p* between the classes is the closest to a previously estimated value *p*<sub>T</sub>, which is obtained by automatic thresholding.

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- For MRT1-images, group labeling is done from the darkest to the brightest cluster until the size proportion *p* between the classes is the closest to a previously estimated value *p*<sub>T</sub>, which is obtained by automatic thresholding.
- The acceptance criterion requires that p ∈ [p<sub>T</sub> − δ, p<sub>T</sub> + δ], whose value of δ increases at every m sampling attempts.

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- We presented the design of fast and effective clustering and classification methods based on optimum-path forest.
- These methods have been succeeded not only in image retrieval [2] and medical imaging [4], but also in several other applications.

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- We presented the design of fast and effective clustering and classification methods based on optimum-path forest.
- These methods have been succeeded not only in image retrieval [2] and medical imaging [4], but also in several other applications.
- Their C source code is available in www.ic.unicamp.br/~afalcao/libopf.

- FAPESP.
- The organizing committee.
- My students and other collaborators.
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