

Introduction to Multivariate Public Key Cryptography

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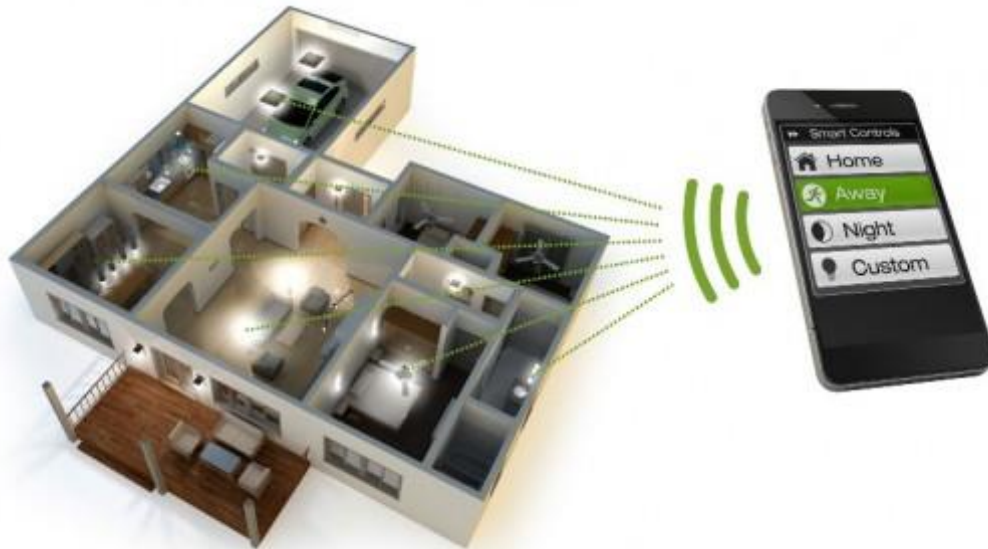
Agenda

- Motivation to Post-Quantum Crypto
- Introduction to MPKC
 - Matsumoto-Imai Encryption
 - UOV Signature
- Technique for Key Size Reduction
- Security Analysis

Motivation

Internet of Things (IoT)

Any object connected to the internet



Motivation

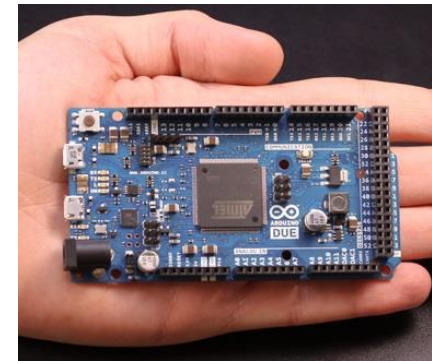
- Typical Platforms



Smartcard (Java Card)



Sensor node



Arduino

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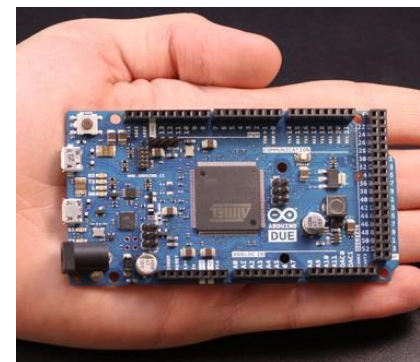
- **Typical Platforms**



Smartcard (Java Card)



Sensor node



Arduino

- **Resources**

- Instruction set of 8, 16 or 32 bits
- Small amount of RAM(2-8 KiB) and ROM (32-128 KiB)
- Low clock: 5-40 MHz
- Energy is expensive

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Security relies on a few computational problems.

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- Shor [1997]

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Conventional algorithms for DLP over binary fields in quase-polynomial time

End of pairings over binary fields (it was the most suitable for WSNs)

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- **Need for alternatives!**

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Cryptosystems that resist to quantum algorithms.

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- Lattice-based
 - Encryption, Digital signatures, FHE

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 - Encryption, Digital signatures, FHE
- **Multivariate Quadratic (MQ)**
 - Some digital signature schemes are robust (original UOV, 14 years)
 - Most of the encryption constructions were broken (Jintai has a new perspective about it)

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 - Need coprocessors in smartcards.
 - Low flexibility for use or optimizations.

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- Advantages of MPKC
 - Simplicity of Operations (matrices and vectors).
 - Small fields avoid multiple-precision arithmetic.
 - Long term security. (prevention against spying)
 - Efficiency

Signature generation in 804 cycles by Ding [ASAP 2008].

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- Main Challenge
 - Relatively large key sizes.

- MPKC Constructions

Multivariate Public Key Cryptography

- Basic Property:
 - Cryptosystems whose public keys are a set of multivariate polynomials.

Multivariate Public Key Cryptography

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 - Cryptosystems whose public keys are a set of multivariate polynomials.
- Notation: the public key is given as:

$$P(x_1, \dots, x_n) = (p_1(x_1, \dots, x_n), p_2(x_1, \dots, x_n), \dots, p_m(x_1, \dots, x_n))$$

MPKC Encryption

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- To decrypt one needs to know a trapdoor so that it is feasible to invert the quadratic map to find the plaintext:

$$(x_1, \dots, x_n) = P^{-1}(c_1, \dots, c_m)$$

MPKC Signature

- Public Key:

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- Sign: given a hash (h_1, \dots, h_m) , compute

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- Verify: $(h_1, \dots, h_m) = P(x_1, \dots, x_m)$
- All vars. and coeffs. are in the small field k .

Security

- Direct attack is to solve the set of equations:

$$P(M) = P(p_1(x_1, \dots, x_n), \dots, p_m(x_1, \dots, x_n)) = (c_1, \dots, c_m)$$

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- Solving a set of m randomly chosen (nonlinear) equations with n variables is NP-complete.
- **But this does not necessarily ensure the security of the systems.**

Security

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- L_1 and L_2 are full-rank linear maps used to hide F .

Security

- **MQ-Problem:** Given a set of m **quadratic** polynomials in n variables $x = (x_1, \dots, x_n)$, solve the system:

$$p_1(x) = \dots = p_m(x) = 0$$

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- **MQ-Problem:** Given a set of m **quadratic** polynomials in n variables $x = (x_1, \dots, x_n)$, solve the system:

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- **IP-Problem:** Given two polynomial maps $F_1, F_2: K^n \rightarrow K^m$. The problem is to look for two linear transformations L_1 and L_2 (if they exist) s.t.:

$$F_1(x_1, \dots, x_n) = L_1 \circ F \circ L_2(x_1, \dots, x_n)$$

Multivariate Quadratic Construction

- MQ system with m equations in n vars, all coefs. in \mathbb{F}_q :

Polynomial notation:

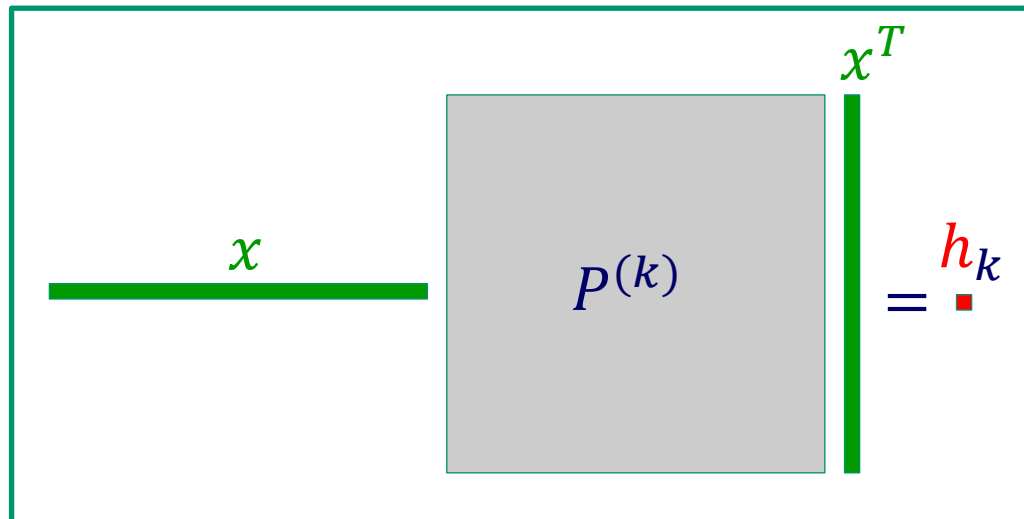
$$p_k(x_1, \dots, x_n) := \sum_{i,j} P_{ij}^{(k)} x_i x_j + \sum_i L_i^{(k)} x_i + c^{(k)}$$

Vector notation:

$$p_k(x_1, \dots, x_n) = x P^{(k)} x^T + L^{(k)} x + c^{(k)}$$

(Pure) Quadratic Map

$$\mathcal{P}(x) = h \Leftrightarrow x P^{(k)} x^T = h_k \quad (k = 1, \dots, m)$$



Matsumoto-Imai Cryptosystem

- Previously, many unsuccessful attempts to construct an encryption scheme.
 - Small number of variables.
 - Huge key sizes.
- In 1988, Matsumoto and Imai adopted a “Big” Field in their C^* construction.

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- Build a map \bar{F} over \bar{K} :

$$\bar{F} = L_1 \circ \phi \circ F \circ \phi^{-1} \circ L_2$$

where the L_i are randomly chosen invertible maps over k^n

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- Inversion of \bar{F} is related to the IP Problem

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$$F : \bar{K} \rightarrow \bar{K}$$

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$$\tilde{F}(x_1, \dots, x_n) = \phi \circ F \circ \phi^{-1}(x_1, \dots, x_n) = (\tilde{F}_1(x_1, \dots, x_n), \dots, \tilde{F}_m(x_1, \dots, x_n))$$

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- \tilde{F}_i are quadratic polynomials because the map $X \mapsto X^{q^\theta}$ is linear (it is the Frobenius automorphism of order θ).

Matsumoto-Imai Cryptosystem

- Encryption is done by the quadratic map over k^n

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- Encryption is done by the quadratic map over k^n

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- Decryption is the inverse process

$$\bar{F}^{-1} = L_2^{-1} \circ \phi \circ F^{-1} \circ \phi^{-1} \circ L_1^{-1}$$

Matsumoto-Imai Cryptosystem

- Requirement: G.C.D. $(q^\theta + 1, q^n - 1) = 1$

to ensure the invertibility of the decryption map \bar{F}^{-1}

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- Requirement: G.C.D. $(q^\theta + 1, q^n - 1) = 1$
to ensure the invertibility of the decryption map \bar{F}^{-1}
- $F^{-1}(X) = X^t, X \in \bar{K}$ where $t \times (q^\theta + 1) \equiv 1 \pmod{q^n - 1}$.
- The public key includes k and $\bar{F} = (\bar{F}_1, \dots, \bar{F}_n)$
- The private key includes L_1, L_2 and \bar{K} .

UOV Signature

- Trapdoor to invert F [Patarin]

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 - oil variables: $O := (x_1, \dots, x_o)$
 - vinegar variables: $V := (x'_1, \dots, x'_v)$

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- $h = \text{Hash}(M)$
- Split vars. into 2 sets: oil variables: $O := (x_1, \dots, x_o)$
vinegar variables: $V := (x'_1, \dots, x'_v)$

$$f_k(x_1, \dots, x_o, x'_1, \dots, x'_v) = h_k =$$
$$= \sum_{O \times V} F_{ij}^{(k)} x_i x'_j + \sum_{V \times V} F_{ij}^{(k)} x'_i x'_j + \sum_O L_i^{(k)} x_i + \sum_V L_i^{(k)} x'_i + c^{(k)}$$

UOV Signature

- Trapdoor to invert F [Patarin]
- $h = \text{Hash}(M)$
- Choose uniformly at random vinegars: $V := (x'_1, \dots, x'_v)$

$$\begin{aligned} f_k(x_1, \dots, x_o, x'_1, \dots, x'_v) &= h_k = \\ &= \sum_{O \times V} F_{ij}^{(k)} x_i x'_j + \sum_{V \times V} F_{ij}^{(k)} x'_i x'_j + \sum_O L_i^{(k)} x_i + \sum_V L_i^{(k)} x'_i + c^{(k)} \end{aligned}$$

UOV Signature

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- $h = \text{Hash}(M)$
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- This becomes an oxo system of linear equations.

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- This becomes an oxo system of linear equations.
- It has a solution with high probability ($\approx 1 - 1/q$).

UOV Signature

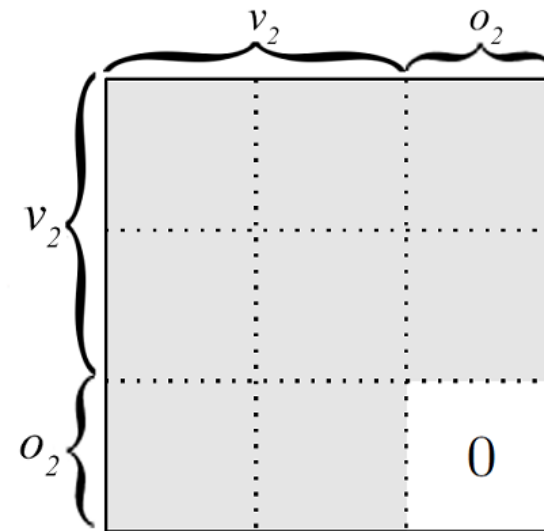
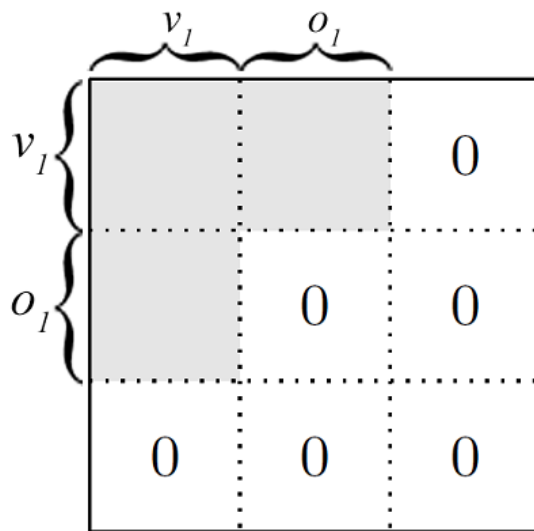
- Trapdoor to invert F [Patarin]
- Oil variables not mixed.

$$F(k) = \begin{array}{c} \begin{array}{cc} \text{Vinegar} & \text{Oil} \\ \text{variables} & \text{variables} \end{array} \\ \begin{array}{c} \overbrace{x_1 \dots x_v} \quad \overbrace{\dots x_n} \\ \begin{array}{|c|c|} \hline \text{ } & \text{ } \\ \hline \end{array} \\ \begin{array}{c} x_1 \\ \vdots \\ x_v \\ \vdots \\ x_n \end{array} \end{array} \begin{array}{c} \left. \begin{array}{c} x_1 \\ \vdots \\ x_v \end{array} \right\} \text{Vinegar variables} \\ \left. \begin{array}{c} \vdots \\ x_n \end{array} \right\} \text{Oil variables} \end{array}$$

The matrix $F(k)$ is a square matrix partitioned into four quadrants by a vertical dashed line and a horizontal dashed line. The top-left quadrant is shaded gray. The top-right quadrant is shaded gray. The bottom-left quadrant is shaded gray. The bottom-right quadrant is white and contains the value 0. The columns are labeled x_1, \dots, x_v (Vinegar variables) and \dots, x_n (Oil variables). The rows are labeled x_1, \dots, x_v (Vinegar variables) and \vdots, x_n (Oil variables).

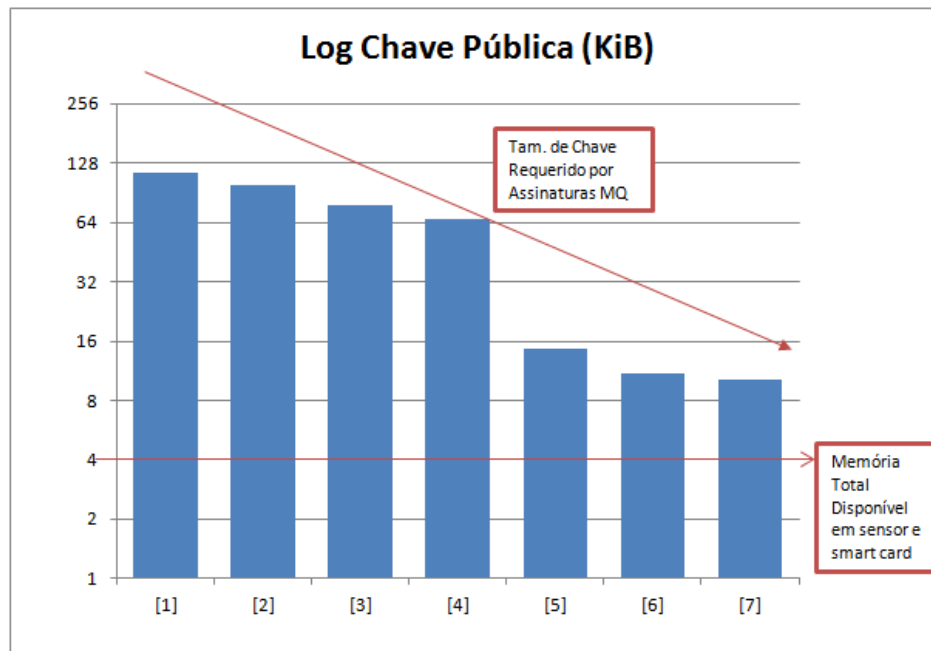
Rainbow Signature

- Rainbow Quadratic Map



MQ Signatures

- UOV key sizes.



Scheme	Public Key (KiB)
Rainbow(\mathbb{F}_{2^4} , 30, 29, 29)	113.4
Rainbow(\mathbb{F}_{2^8} , 29, 20, 20)	99.4
Rainbow(\mathbb{F}_{31} , 25, 24, 24)	77.7
NC-Rainbow(\mathbb{F}_{2^8} , 17, 13, 13)	66.7
CyclicUOV(\mathbb{F}_{2^8} , 26, 25)	14.5
UOVLRS(\mathbb{F}_{2^8} , 26, 52, 26)	11.0
CyclicRainbow(\mathbb{F}_{2^8} , 17, 13, 13)	10.2

- Technique for Key Size Reduction

MQ Signatures - Cyclic UOV

- Technique for reduction of UOV public keys.

MQ Signatures - Cyclic UOV

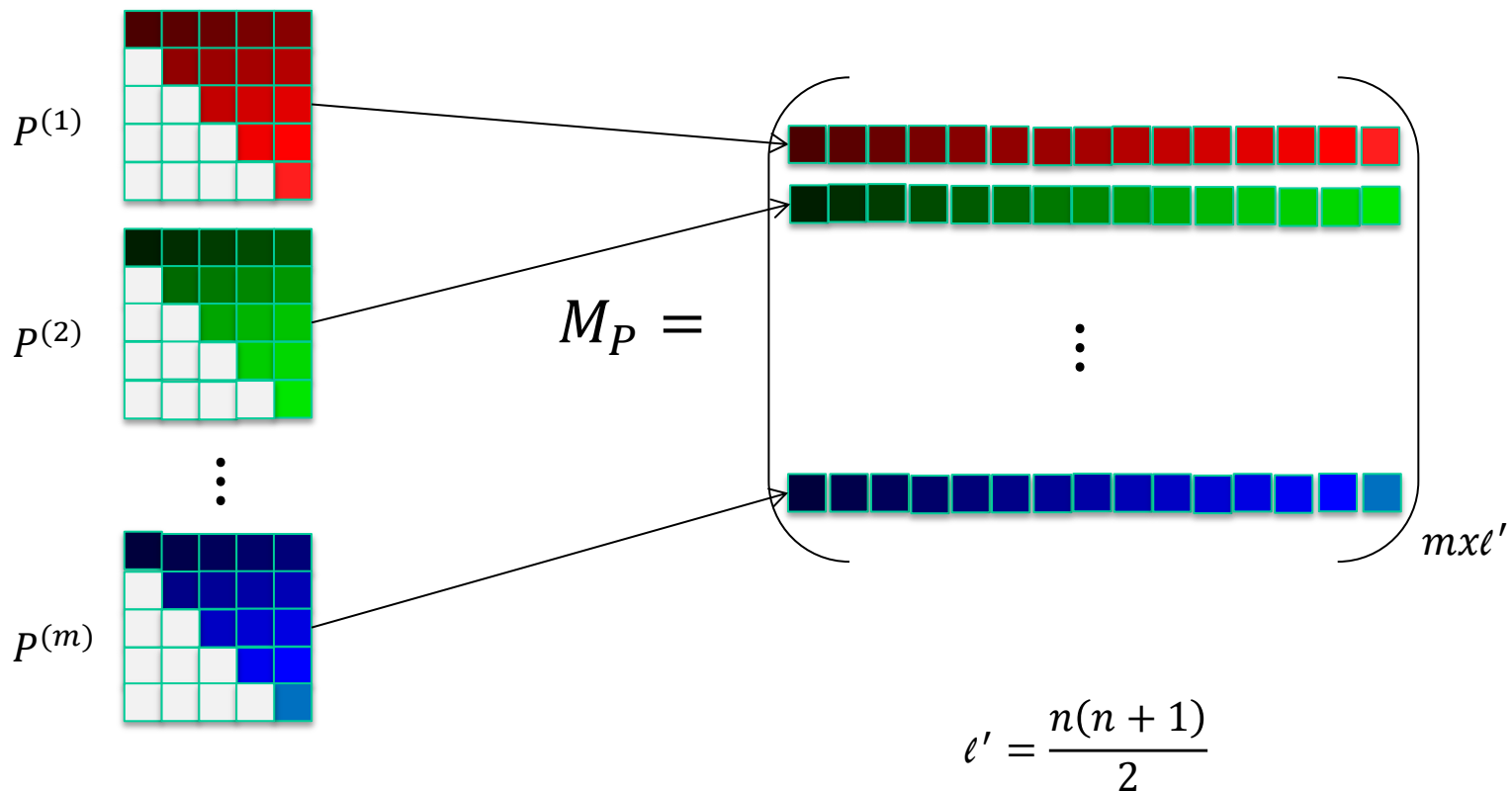
- Technique for reduction of UOV public keys.
- Part of the public key with short representation.

MQ Signatures - Cyclic UOV

- Technique for reduction of UOV public keys.
- Part of the public key with short representation.
- Achieves a 6x reduction factor for 80-bit security.

MQ Signatures - Cyclic UOV

Public matrix of coefficients M_P



MQ Signatures - Cyclic UOV

Public matrix of coefficients M_P

$$M_P = \left(\begin{array}{c|c} \text{Red blocks} & \text{Red blocks} \\ \text{Green blocks} & \text{Green blocks} \\ \vdots & \vdots \\ \text{Blue blocks} & \text{Blue blocks} \end{array} \right) = \left(\begin{array}{c|c} B & C \end{array} \right)$$

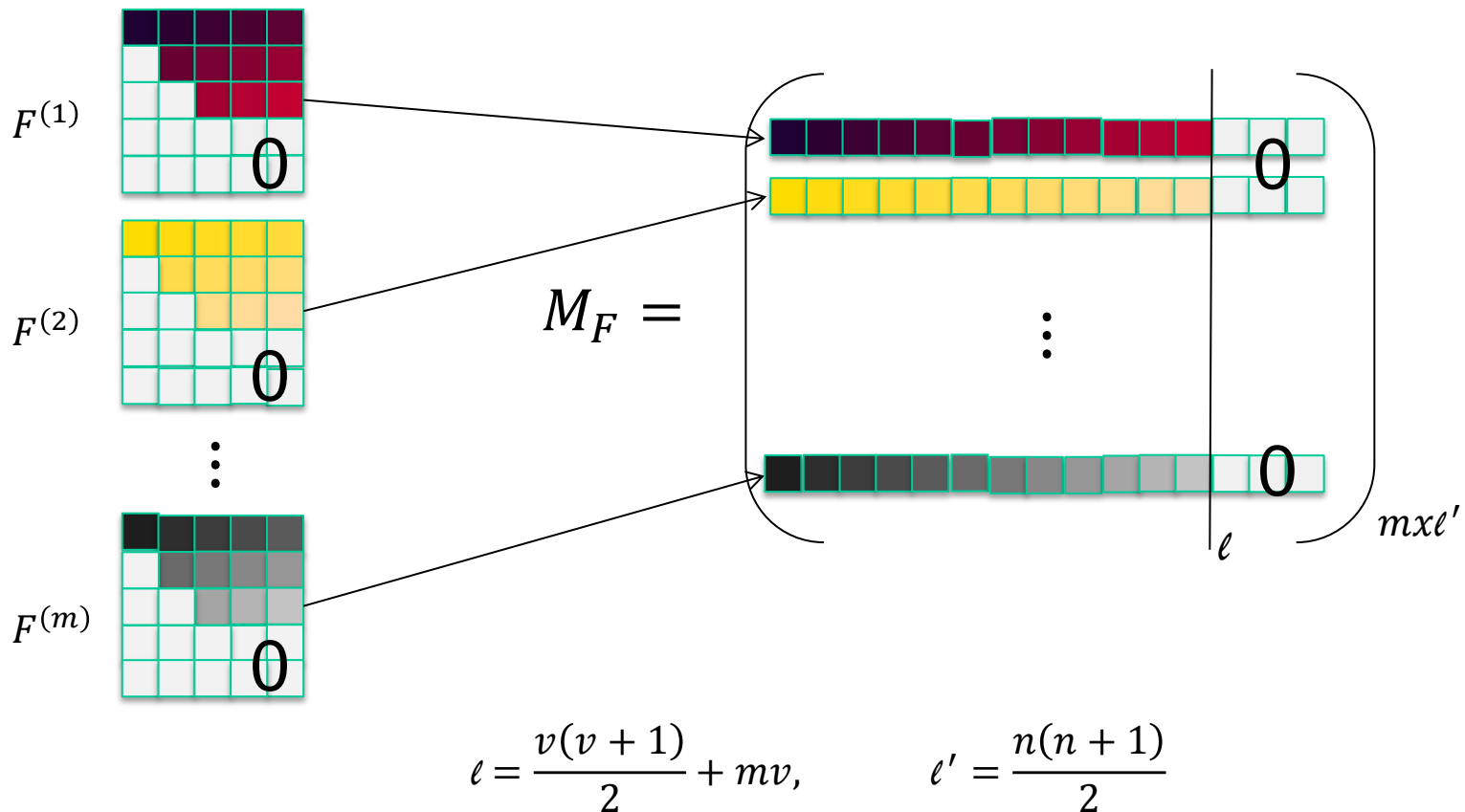
ℓ $mx\ell'$ ℓ $mx\ell'$

$$\ell = \frac{v(v+1)}{2} + mv,$$

$$\ell' = \frac{n(n+1)}{2}$$

MQ Signatures - Cyclic UOV

Private matrix of coefficients M_F



MQ Signatures - Cyclic UOV

Private matrix of coefficients M_F

$$M_F = \left(\begin{array}{c|c} \begin{array}{c} \text{Row 1: } \text{[12 colored cells]} \\ \text{Row 2: } \text{[12 colored cells]} \\ \vdots \\ \text{Row } m: \text{[12 colored cells]} \end{array} & \begin{array}{c} 0 \\ 0 \\ \vdots \\ 0 \end{array} \end{array} \right)_{mx\ell'} = \left(\begin{array}{c|c} F & 0 \end{array} \right)_{mx\ell'}$$

ℓ
 ℓ

$$\ell = \frac{v(v+1)}{2} + mv,$$

$$\ell' = \frac{n(n+1)}{2}$$

MQ Signatures - Cyclic UOV

- There is a linear relation between B and F which only depends on B, F and S [Petzoldt et. al, 2010]

$$M_P = \left(\begin{array}{c|c} B & C \\ \hline & \ell \end{array} \right)_{mx\ell'}$$

$$M_F = \left(\begin{array}{c|c} F & 0 \\ \hline & \ell \end{array} \right)_{mx\ell'}$$

$$B = F \cdot A_{UOV}(S)$$

$$a_{ij}^{rs} = \begin{cases} S_{ri} \cdot S_{si}, & i = j \\ S_{ri} \cdot S_{sj} + S_{rj} \cdot S_{si}, & i \neq j \end{cases}$$

$$1 \leq i \leq v, i \leq j \leq n$$

$$1 \leq r \leq v, r \leq s \leq n$$

MQ Signatures - Cyclic UOV

By choosing $A_{UOV}(S)$ invertible:

- F can be computed from B and A_{UOV}^{-1}

$$F = B \cdot A_{UOV}^{-1}$$

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MQ Signatures - Cyclic UOV

By choosing $A_{UOV}(S)$ invertible:

- F can be computed from B and A_{UOV}^{-1}

$$F = B \cdot A_{UOV}^{-1}$$

- Thus, the choice of B becomes flexible.
- In particular:
 - $B = 0$ does not result in a valid F ,
 - $B = \text{Identity blocks}$, reveals too much info of A_{UOV}^{-1} ,
 - B circulant was adopted by [Petzoldt et. al, 2010]

MQ Signatures - Cyclic UOV

By choosing $A_{UOV}(S)$ invertible:

- F can be computed from B and A_{UOV}^{-1}

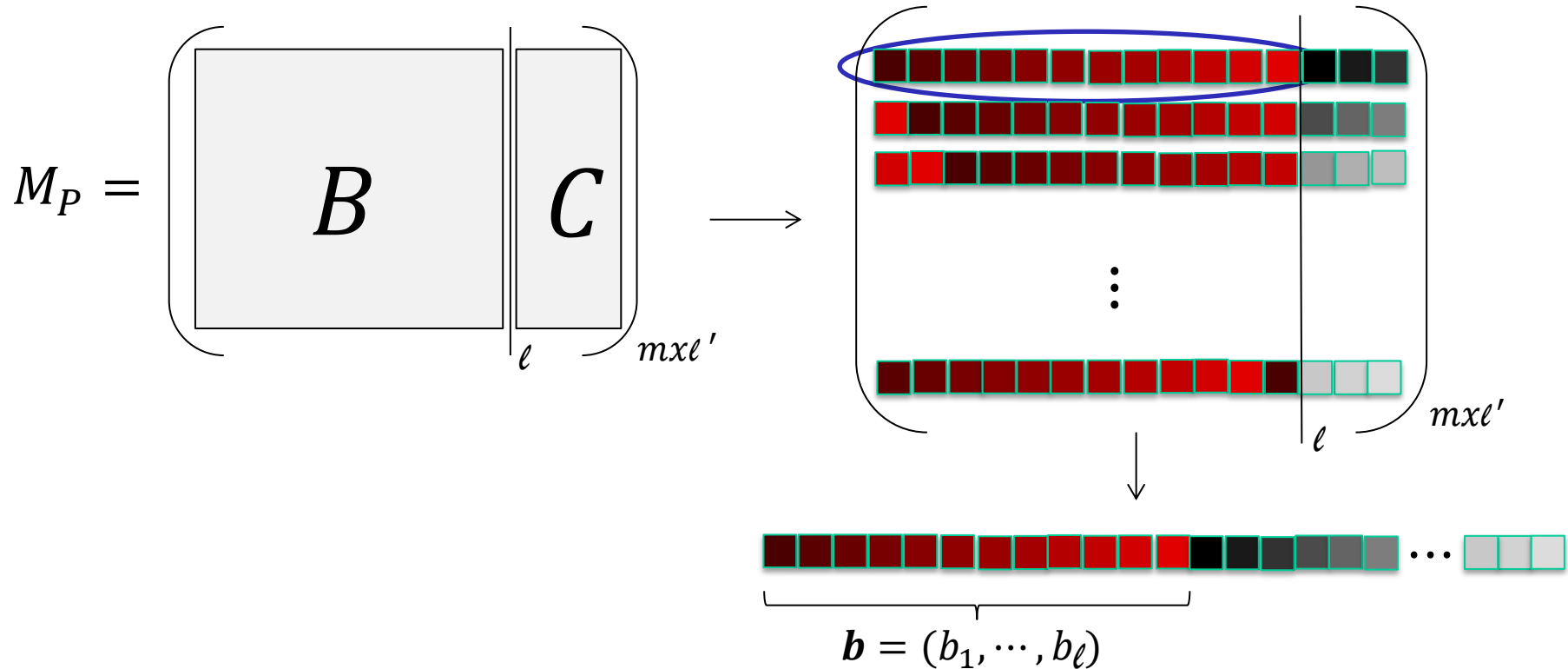
$$F = B \cdot A_{UOV}^{-1}$$

- Thus, the choice of B becomes flexible.
- In particular:
 - $B = 0$ does not result in a valid F ,
 - $B = \text{Identity blocks}$, reveals too much info of A_{UOV}^{-1} ,
 - B circulant was adopted by [Petzoldt et. al, 2010]

Petzoldt et. al. showed by theorem that the choice of a circulant B provides consistent UOV signatures.

MQ Signatures - Cyclic UOV

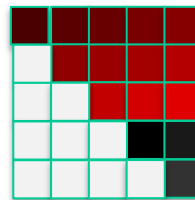
Adopting B circulant:



$$|M_P| = \ell + m(\ell' - \ell)$$

MQ Signatures - Cyclic UOV

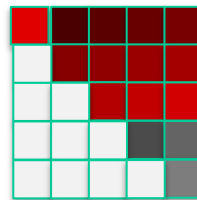
Public matrices $P^{(k)}$



$P^{(1)}$

MQ Signatures - Cyclic UOV

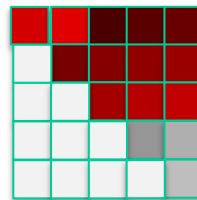
Public matrices $P^{(k)}$



$P^{(2)}$

MQ Signatures - Cyclic UOV

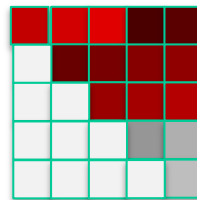
Public matrices $P^{(k)}$



$P^{(3)}$

MQ Signatures - Cyclic UOV

Public matrices $P^{(k)}$



$P^{(4)}$

MQ Signatures - Cyclic UOV

Public matrices $P^{(k)}$

...

Equivalent Keys in UOV

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- A class of equivalent private keys with a simpler structure.
- Thus, private keys can be built using this short structure.

Equivalent Keys in UOV

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$$P^{(i)} = SF^{(i)}S^T, 1 \leq i \leq m$$

Equivalent Keys in UOV

- UOV public key:

$$P^{(i)} = SF^{(i)}S^T, 1 \leq i \leq m$$

- Question: Are there classes of keys S' and F' s.t.

$$P^{(i)} = SF^{(i)}S^T = S'F'^{(i)}S'^T, 1 \leq i \leq m$$

where matrices $F'^{(i)}$ share with $F^{(i)}$ the same trapdoor structure?

Equivalent Keys in UOV

- Idea: Introduce a matrix Ω in $P^{(i)}$:

$$P^{(i)} = S\Omega^{-1}\Omega F^{(i)}\Omega^T\Omega^{T-1}S^T$$

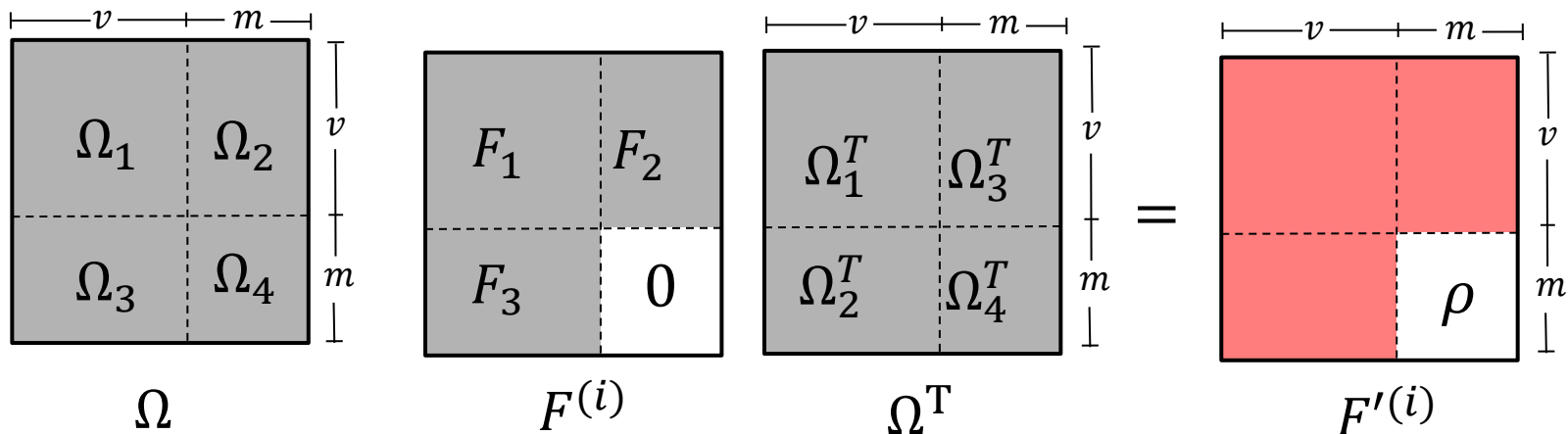
- Define $F'^{(i)} := \Omega F^{(i)}\Omega^T$

Equivalent Keys in UOV

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$$P^{(i)} = S\Omega^{-1}\Omega F^{(i)}\Omega^T\Omega^{T-1}S^T$$

- Define $F'^{(i)} := \Omega F^{(i)}\Omega^T$
- We want Ω that keeps the original F structure in F' :

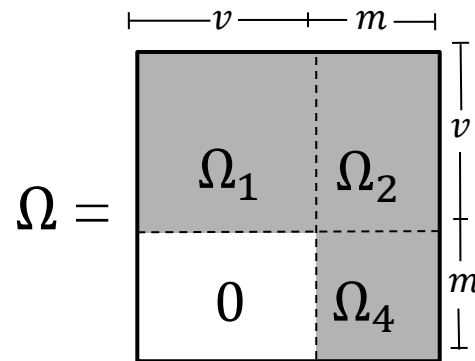


Equivalent Keys in UOV

- From the previous equality we obtain:

$$\rho = (\Omega_3 F_1 + \Omega_4 F_3) \Omega_3^T + \Omega_3 F_2 \Omega_4^T = 0$$

and $\Omega_3 = 0$ is a solution.



Equivalent Keys in UOV

- Thus, $F'^{(i)} = \Omega F^{(i)} \Omega^T$ has the same structure of $F^{(i)}$.
- Going back to definition

$$P^{(i)} = S \Omega^{-1} (\Omega F^{(i)} \Omega^T) \Omega^{T-1} S^T$$

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- So, defining $S' := S \Omega^{-1}$ one finally gets:

$$P^{(i)} = S' F'^{(i)} S'^T$$

Equivalent Keys in UOV

$$S' = S\Omega^{-1} =$$

The diagram illustrates the block structure of the matrices S and Ω^{-1} . Matrix S is a $2v \times 2m$ matrix composed of four $v \times m$ blocks: S_1 (top-left), S_2 (top-right), S_3 (bottom-left), and S_4 (bottom-right). Matrix Ω^{-1} is a $2v \times 2m$ matrix composed of four $v \times m$ blocks: Ω_1^{-1} (top-left), Ω_2^{-1} (top-right), 0 (bottom-left), and Ω_4^{-1} (bottom-right). The dimensions v and m are indicated by arrows above and to the right of the matrices.

- Note that Ω^{-1} has the same structure of Ω .

Equivalent Keys in UOV

- By choosing suitable values of Ω_i^{-1} , it is possible to get:

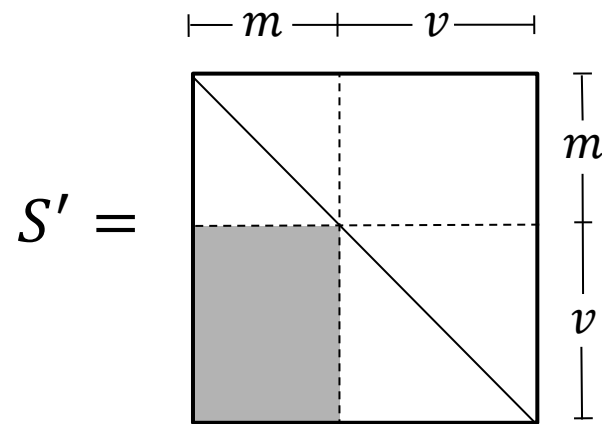
$$\begin{aligned}S'_1 &= I_{vxv} \\S'_2 &= 0_{vxm} \\S'_4 &= I_{mxm}\end{aligned}$$

what implies

$$S'_3 = S_3 S_1^{-1} S_2^2 S_1^{-1} + S_4 (S_4 - S_3 S_1^{-1} S_2)^{-1}$$

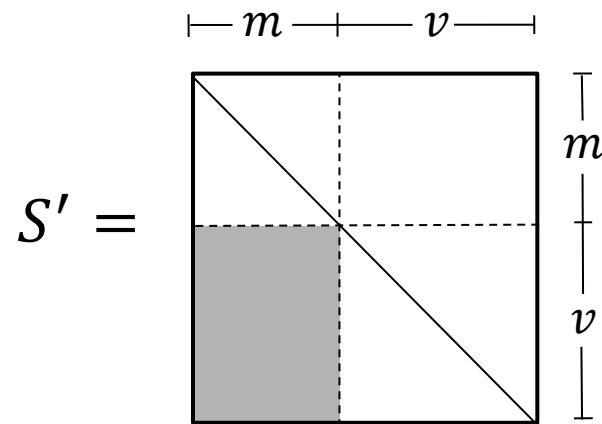
Equivalent Keys in UOV

- Structure of S' :



Equivalent Keys in UOV

- Structure of S' :

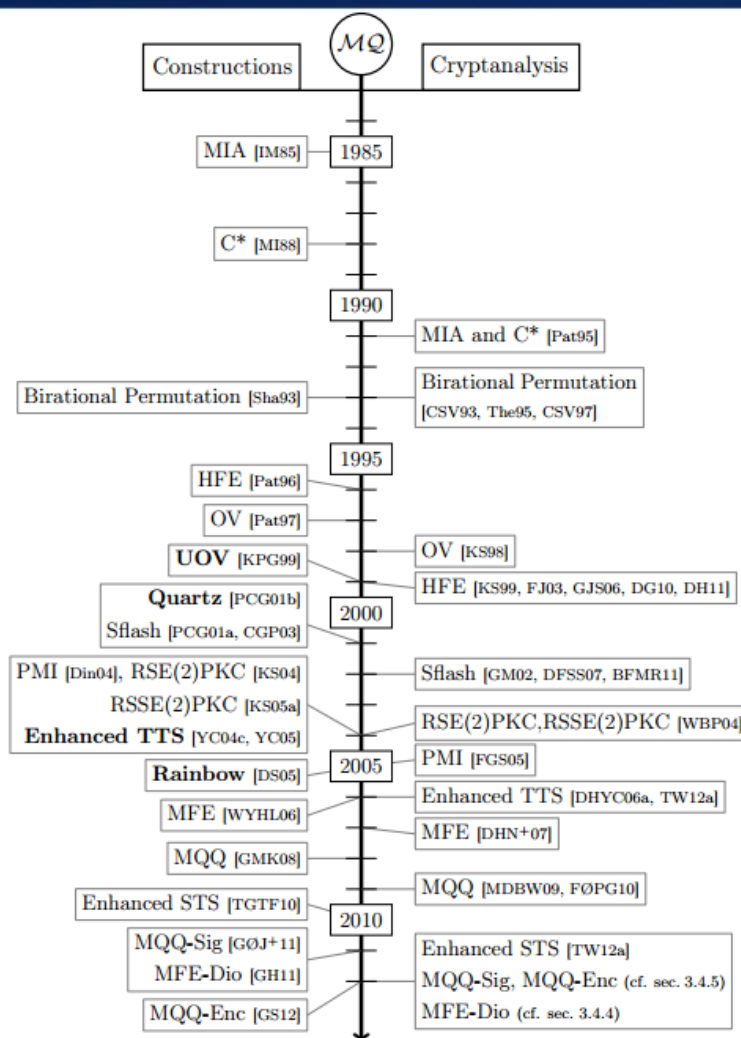


- So, the answer is **yes**, there exist equivalent $S', F'^{(i)}$ s.t.

$$S' F'^{(i)} (S')^T = (S \Omega^{-1}) (\Omega F^{(i)} \Omega^T) (S \Omega^{-1})^T = P^{(i)}$$

and $F'^{(i)}$ have the desired trapdoor structure.

Recap. MQ Schemes



Thanks!

Questions?