

# MO434 - Deep Learning

## Fundamentals of (Deep) Neural Networks I

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# Agenda

- Data types, preprocessing, and data representation.
- A simple neural network for classification and its geometrical interpretation.
- A simple example of classification.
- A simple example of regression.

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- Data may be classified as either **structured** or **unstructured**. [▶ EXAMPLES](#)
- The main steps for data analysis are preprocessing, data representation, and (supervised, unsupervised, semi-supervised) **classification/regression**.

# Preprocessing

Preprocessing is an application-dependent task. For text analysis, it is usually required to

- remove stopping words, e.g., for, the, but, after, yet, so;
- separate the remaining words (tokenization);
- abbreviate words and/or transform them into a standard form (stemmization and lemmatization);
- segment the text into parts of speech (tagging), e.g., nouns, adjectives and verbs;
- recognize entity names (NER), e.g., institution, person and country; and
- convert the words into numbers, such that similar words are mapped to close numbers.

For image analysis, the images might need to be cropped at the center, rescaled and normalized. Common normalization functions applied to each pixel  $p$  of each image channel  $c$  are:

$$c'(p) = \frac{c(p) - c_{\min}}{c_{\max} - c_{\min}},$$
$$c'(p) = \frac{c(p) - \mu_c}{\sigma_c},$$

where  $c_{\min}$ ,  $c_{\max}$ ,  $\mu_c$ , and  $\sigma_c$  are the minimum, maximum, mean, and standard deviation of pixel values in that channel for images of a given application.



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Ex: Pretrained models on ImageNet transform rgb images with 8 bits per channel by  $r(p) \leftarrow \frac{r(p)}{255}$ ,  $g(p) \leftarrow \frac{g(p)}{255}$ ,  $b(p) \leftarrow \frac{b(p)}{255}$ , and then  $r(p) \leftarrow \frac{r(p)-0.485}{0.229}$ ,  $g(p) \leftarrow \frac{g(p)-0.456}{0.224}$ , and  $b(p) \leftarrow \frac{b(p)-0.406}{0.225}$ .

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- the dataset becomes a set  $X = \{\mathbf{x}^{(i)}\}_{i=1}^N$  with feature vectors  $\mathbf{x}^{(i)} = (x_1^{(i)}, x_2^{(i)}, \dots, x_n^{(i)})$  associated to the  $N$  samples.

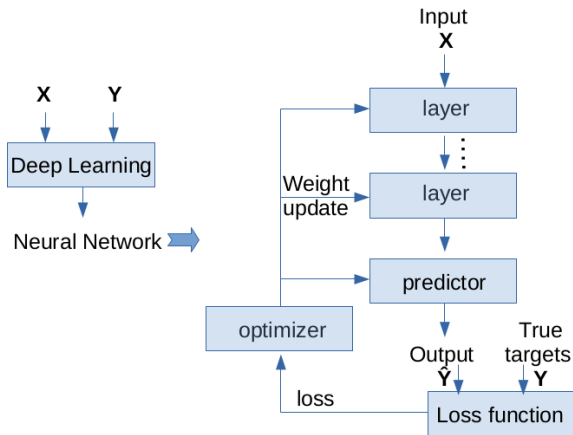
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- For classification and regression, the prediction  $\hat{\mathbf{y}}^{(i)} = F(\mathbf{x}^{(i)})$  must be **as close as** possible to its target  $\mathbf{y}^{(i)} \in Y = \{\mathbf{y}^{(i)}\}_{i=1}^N$ .

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- A neural network  $F$  must then be trained to **minimize** a suitable **loss function**.

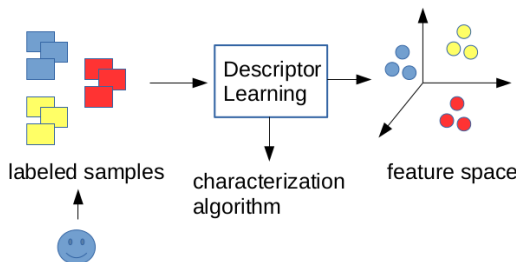
# Training a neural network $F$



This process must use part of the dataset  $(X, Y)$  called **training set**, leaving the rest for validation and test.

# Deep neural network for classification

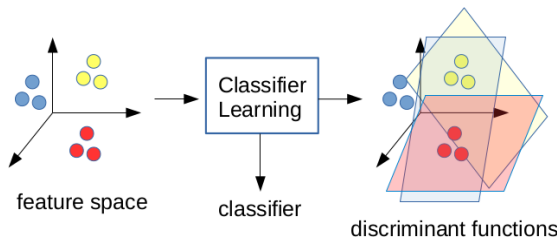
A deep neural network is a sequence of dense/convolutional layers (**descriptor**/encoder) which transforms data representation to a more suitable one for classification/regression (**classifier**/decoder).



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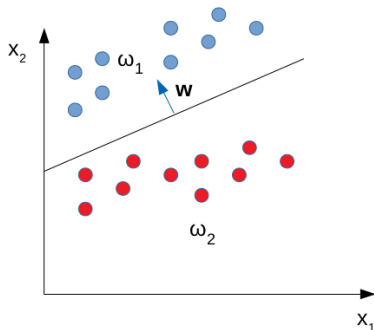


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# A simple example of data transformation for classification

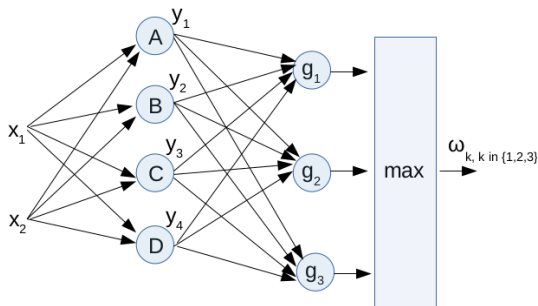
For a simple example with 2D feature vectors  $\mathbf{x} = (x_1, x_2)$  and two classes  $\mathbf{y} = (y_1, y_2)$ , with  $y_k = f(\langle \mathbf{x}, \mathbf{w} \rangle + w_0)$ ,  $f(v) = 0$ , for  $v < 0$ , or  $f(v) = v$ ,  $v \geq 0$ , and the decision is  $\omega_k = \arg \max_{k=1,2} \{y_k\}$ .



Each vector  $\mathbf{w} \in \mathbb{R}^2$  (neuron weights) and the bias  $w_0 \in \mathbb{R}$  defines a line at some position of the 2D feature space. **A single layer with one neuron would be enough, but we use two.**

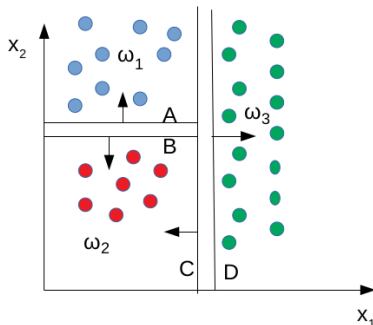
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Whenever the classes are nonlinearly separable, hidden layers may be added with the number of neurons at the decision layer equal to the number of classes.



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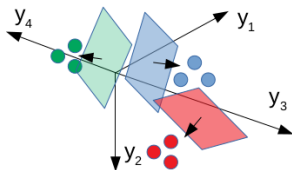
A hidden layer with four neurons and a decision layer with three neurons are enough for the simple example below.



For each class, the decision layer will identify which neurons activate together when the example comes from that class.

# A simple example of data transformation for classification

The output of the hidden layer will be a four-dimensional feature space.



The decision layer will use one hyperplane per class to separate them in that four-dimensional feature space. ▶ CLASSIFICATION

This link helps to understand how a neural network separates classes in 2-dimensional feature spaces:

<https://playground.tensorflow.org/>

# A simple example of regression

For regression, a simple example is the estimation of  $y = x_1^2 + x_2^2$  from training examples  $(\mathbf{x}^{(i)}, y^{(i)})$ ,  $i = 1, 2, \dots, N$ . ▶ REGRESSION

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- Regression may estimate one or more properties  $y_k$  from one or multiple input features  $x_j$ .
- Activation, loss, and training by backpropagation for classification and regression will be discussed in the next lecture.